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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

Proceeding	91191056
Party	Plaintiff White Rock Distilleries, Inc.
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Submission	Testimony For Plaintiff
Filer's Name	Daniel I. Schloss
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Signature	/Daniel I. Schloss/
Date	02/14/2011
Attachments	91191056ReidlTrans.pdf (2 pages)(54292 bytes) 1-106.pdf (106 pages)(203831 bytes) 107-211.pdf (105 pages)(365141 bytes)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

IN THE MATTER (OF APPLICATION		
Mark:	PINNACLES RANCHES		
Applicant:	Franciscan Vineyards, Inc.		
Serial No.:	77/298,674		
Published in			
the Official Gazette:	March 17, 2009		
WHITE ROCK DIST	TILLERIES, INC.)	
	Opposer,)	
	v.)	Opposition No. 91191056
FRANCISCAN VIN	EYARDS, INC.,)	
	Applicant.)	
)	

COMBINED NOTICE OF FILING AND SERVICE OF PAUL W. REIDL DEPOSITION TRANSCRIPT AND EXHIBITS THERETO

Pursuant to 37 C.F.R. § 2.125(a), Opposer, White Rock Distilleries, Inc. ("White Rock"), by its undersigned attorney, hereby gives notice that the certified deposition transcript of Paul W. Reidl, taken January 26, 2011, along with the accompanying exhibits and errata sheet, was served upon Applicant, Franciscan Vineyards, Inc., on February 14, 2011.

Pursuant to 37 C.F.R. § 2.125(c), White Rock, by its undersigned attorney, hereby submits for filing with the Trademark Trial and Appeal Board the attached certified transcript of

the testimony deposition of Paul W. Reidl, taken January 26, 2011, along with the accompanying exhibits and errata sheet.

By:

Respectfully submitted,

Dated: February 14, 2011

Daniel I. Schloss Alan N. Sutin Masahiro Noda

GREENBERG TRAURIG, LLP 200 Park Avenue, 34th Floor New York, New York 10166

Tel: (212) 801-9200 Fax: (212) 801-6400

CERTIFICATE OF SERVICE

Date: February 14, 2011

The undersigned hereby certifies that a true and correct copy of the foregoing Combined Notice of Filing and Service of Paul W. Reidl Deposition Transcript and Exhibits thereto has been served by first class mail, postage prepaid, this 14th day of February 2011 upon Franciscan Vineyards, Inc. at the following correspondence address of its counsel of record:

John M. Rannells, Esq. Baker & Rannells, PA 575 Route 28, Suite 102

Raritan, NJ 08869

Daniel I. Schloss

	Page 1
1	IN THE UNITED STATES
_	PATENT AND TRADEMARK OFFICE
2	BEFORE THE TRADEMARK TRIAL
	AND APPEAL BOARD
3	x
	FRANCISCAN VINEYARDS, INC.,
4	Opposer,
5	- v -
5	WHITE ROCK DISTILLERIES, INC.,
6	Applicant.
7	Opposition No. 91185984
	Mark: PINNACLE
8	Serial No.: 78/166,136
	x
9	WHITE ROCK DISTILLERIES, INC.,
10	Opposer,
10	- v -
11	FRANCISCAN VINEYARDS, INC.,
	Applicant.
12	- -
	Opposition No. 91191056
13	Mark: PINNACLES RANCHES
	Serial No.: 77/598,674
14	X
15	200 Park Avenue New York, New York
16	New TOLK, New TOLK
± 0	January 26, 2011
17	10:17 a.m.
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19	DEPOSITION of PAUL W. REIDL, an
2 0	Expert Witness in the above-entitled
21	actions, held at the above time and
22	place, taken before Dawn Matera, a Notary
2 3	Public of the State of New York. * * *
2 4 2 5	^ * *
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	P	age 2
1	APPEARANCES:	
2		
3		
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	PAUL	W.	- K F. I I) I

- 2 | PAUL W. REIDL, an Expert Witness herein,
- 3 | having first been duly sworn by the
- 4 Notary Public, was examined and testified
- 5 as follows:

- EXAMINATION BY MR. SCHLOSS:
- Q. Good morning. Please state
- 9 your name for the record.
- 10 A. My name is Paul Reidl.
- 11 Q. Mr. Reidl, are you currently
- 12 employed?
- 13 A. I am self-employed.
- Q. Okay. And what is your work
- 15 address?
- 16 A. 3300 Wycliffe Drive, Modesto,
- 17 | California 95355.
- 18 Q. Okay.
- MR. SCHLOSS: Let's mark Exhibit
- 20 | 1.
- [The document entitled White
- 22 Rock Distilleries, Inc.'s Notice of
- Taking Testimony of Paul W. Reidl,
- 24 Pursuant to 37 C.F.R. 2.123, was
- 25 | hereby marked as Exhibit 1 for

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identification, as of this date.] 2.

BY MR. SCHLOSS: 3

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- Mr. Reidl, I am showing you 4 what's been marked as Exhibit 1.
- Can you tell me if you 6
- 7 recognize that?
- Α. I do. 8
- 9 0. And what do you recognize it to 10 be?
- 1 1 It is a notice of taking of Α. 12 testimony of me in the opposition and the 1.3 cancellation of proceedings. Excuse me, 14 in the two opposition proceedings, as 15 noted on the first page.
 - Just to be clear, you understand that your testimony here today will be used, potentially, in both of these opposition proceedings?
 - Α. That's my understanding.
- 21 0. Okay.
- 2.2 MR. SCHLOSS: And to that end,
- 23 please mark Exhibit 2 for me.
- 2.4 [The document entitled
- 2.5 Stipulated Motion To Extend and Reset

1	PAUL W. REIDL
2	Trial Dates and Motion Requesting
3	Board Approval of Stipulations
4	Governing Testimony Depositions, was
5	hereby marked as Exhibit 2 for
6	identification, as of this date.]
7	BY MR. SCHLOSS:
8	Q. Mr. Reidl, I show you what's
9	marked as Exhibit 2.
10	Can you tell me if you
11	recognize that?
12	A. I do.
13	Q. What do you recognize it to be?
14	A. It's a stipulated motion.
15	Q. Calling your attention to
16	numbered paragraph number 3 on the second
17	page of that document, have you seen that
18	particular provision before?
19	A. Yes.
2 0	Q. So you understand that the
21	parties have stipulated that your

testimony will be used pursuant to

certain conditions in both opposition

proceedings that we talked about a moment

ago?

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Can you tell me if you recognize it?

- Α. I do.
- What do you recognize it to be? 0.
- 2.2 Α. It is a stipulated motion.
- 2.3 And does it have similar Ο. 24 provisions on numbered paragraph 3 on the second page regarding the use of your 2.5

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	Page 8
1	PAUL W. REIDL
2	that is my signature on Page 20.
3	MR. SCHLOSS: Finally, the last
4	of the preliminaries, please mark this
5	as Exhibit 5.
6	[The document entitled Rule 26
7	Disclosure Statement and Declaration
8	of Paul W. Reidl, was hereby marked as
9	Exhibit 5 for identification, as of
10	this date.]
11	Q. Mr. Reidl, I am showing you
12	what's been marked as Exhibit 5.
13	Can you tell me if you
14	recognize that?
15	A. I do.
16	Q. What do you recognize it to be?
17	A. It is a black and white copy of
18	the Rule 26 Disclosure Statement that I
19	prepared on Opposition number 91191056.
2 0	And that is my signature on Page 22.
21	Q. Okay. Thank you. All right.
22	Mr. Reidl, some questions about
2 3	your background.
2.4	Did you go to college?

Α.

Yes.

	Page 9	
1	PAUL W. REIDL	
2	Q. Where was that?	
3	A. George Washington University.	
4	Q. And what year did you graduate?	
5	A. 1977.	
6	Q. And what was your degree?	
7	A. Bachelor of Arts.	
8	Q. Particular major?	
9	A. Political science with a	
10	concentration in speech communication and	
11	theology.	
12	Q. Okay. And did you do any	
13	graduate work?	
14	A. I did.	
15	Q. What was that?	
16	A. I went to law school at George	
17	Washington University National Law	
18	Center.	
19	Q. And did you graduate?	
2 0	A. Yes.	
21	Q. What year was that?	
22	A. 1980.	
23	Q. And are you presently a member	
2 4	of any bars?	
2 5	A. Yes.	

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- Q. Do you recall which those are?
- A. I am an inactive member of the District of Columbia Bar and an active member of the State Bar of California.
 - Q. Do you have any other degrees or professional licenses?
 - A. No.
 - Q. Any professional awards or recognition you've received that you can recall?
 - A. What do you mean by "professional awards or recognition"?
- Q. Any particular accolades or -that call attention to, in a formal way,
 to your work?
- A. Well, I was elected to Phi Beta Kappa when I was in college, and elected to the Order of the Coif and a member of the law review when I was in law school.

I have served in various

positions of the International Trademark

Association. And was the President of

that association in 2006.

In 2006, I was named by

1	PAUL	W.	REIDL
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- 2 Managing Intellectual Property magazine 3 as one of the 50 most influential people 4 in intellectual property in the world.
- Q. Can you describe your

 employment history after you graduated

 from law school?
- 8 A. Yes.
- 9 Q. What was your first job out of law school?
- A. I worked for the firm of Crowell, C-R-O-W-E-L-L & Moring,
- 13 M-O-R-I-N-G, in Washington D.C.
- Q. And approximately, what years were you employed there?
- A. I began working for Crowell &

 Moring in January 1980 as a law clerk.

 And was there until the end of 1990 as an
- 19 associate.
- Q. And what was your next job when you left that firm?
- A. I joined or was hired by E&J

 Gallo Winery in Modesto, California.
- Q. Would that have been in 1990?
- A. I was hired in December of

- 2 | 1990, but I did not start until the first 3 | Monday in February 1991.
- Q. And what was your initial title at Gallo?
 - A. I am not sure what my initial title was, because titles don't really mean that much.
 - Q. What were your initial job responsibilities at Gallo?
 - A. My initial job responsibilities were to handle the litigation matters for Gallo Winery, which were primarily trademark matters, to do some general alcohol beverage work, to do some marketing review work, to participate in the marketing function, as I was coming up to speed on the business.
 - Q. What do you mean by "participate in the marketing function"?
 - A. Well, once I assumed responsibility for the trademark function, which was shortly after I was hired, and actually, that started even before then. I worked very closely with

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- the marketing department in both a business and a legal capacity on label development and packaging development projects.
 - Q. Can you describe, in general terms, the type -- that type of involvement?
 - A. Sure. As an attorney who was responsible for the branding/packaging functions of the marketing department, I was a member of the groups, teams, that put together labels and packaging. And as such, I attended the meetings, I participated in the meetings, participated in the conference calls and offered both business and legal advice with respect to labeling and packaging decisions.

I also was part of the group of senior people who had regular lunches with the Chairman, Ernest Gallo, in his lunch room, in which those kinds of strategic issues were frequently discussed.

- Q. In general terms, can you describe some of the types of strategic decision-making that you were involved with, on the business side?
- A. Well, without, without impinging on confidentiality or --
 - Q. Sure.
- A. -- or attorney/client privilege obligations --
- 11 Q. Sure.

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- A. -- off the top of my head, that would include, that would include strategic decisions on how to handle, respond to regulatory issues with, then it was the Bureau of Alcohol, Tobacco & Firearms, now the Alcohol and Tobacco Tax and Trade Bureau, which is the regulatory agency.
- It would include strategic issues on branding and name selection, positioning. Strategic issues on packaging, packaging design. On creative activities. Creative work. That sort of thing.

- Q. Okay. And did your responsibilities at Gallo change over time?
- A. Yes.

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- Q. In what way? Can you describe the progression?
- A. After a very short period of time at Gallo, I assumed responsibility for the trademark function, both domestic and international. And that involved not only the clearance and selection of trademarks of packaging designs and the like, it also involved the defense of those of the company's brands, which is the responsibility that I had previously. And it involved regulatory issues related to the labels and packages that were placed on the bottle.

That put me in -- those things put me in much closer contact with the marketing department, as I became, in a sense, a functional part of that team, because they were my primary client.

When the international business

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exploded after the GATT TRIPS Agreement, which was in the mid-1990s, I assumed responsibility for the international aspects of the business with one carve-out for another lawyer. That lawyer kept some of the policy issues.

Those responsibilities, and my role as associate general counsel, stayed that way for most of my tenure there, although they varied somewhat.

There was a reorganization of the compliance department, for example. And the day-to-day review of the labels was moved from legal to compliance. And then we played a traditional advisory role to that group.

- Q. Prior to the restructuring that you just mentioned, what was the nature of your involvement with the review of wine labels?
- A. Every label that was used on a bottle was reviewed and approved by me.
- Q. And was the nature of that approval -- well, what was the nature of

that approval?

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- A. There were several aspects of that. There was, of course, the trademark aspect of that. But there was also the compliance aspect of that; making sure that the labels complied with the regulatory requirements that were in effect at the time.
 - Q. Was there any other sort of input you had other than regulatory requirements with labeling requirements?
 - A. As I mentioned previously, I was part of the group that developed the brand names and the overall label architecture. And I had considerable input into the selection of the brand names, the selection of the designs, the designs of the labels, and so on.
 - Q. What were some of the things that you considered in evaluating new wine names?
- A. Well, speaking generally, and again, avoiding confidential or privileged issues, there were really two

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2. general groups of issues that were considered. 3

The first is your standard branding issue. What is that, what is the likely effective or impact of the name on your target market. Does that name -- is that an appropriate name for the purpose of the brand. If it's a 10 premium brand, is it premium enough. Ιf 1 1 it's a fighting varietals, is it 12 appropriate. Your standard business type 1.3 issues.

Then, of course, secondly, there would be the legal considerations where as a lawyer you would be looking at distinctiveness-type issues, as well as your standard availability-type issues.

- And did you have Q. decision-making authority with respect to new wine names, or new labeling or packaging that were under consideration at Gallo during your tenure?
 - Yes. Α.
 - And how long were you employed Q.

2 | at Gallo?

- A. 18 years almost to the day.
- 4 Q. When did you leave Gallo?
- 5 A. At the end of January 2009.
- Q. Mr. Reidl, turning more
- 7 | generally to the United States wine
- 8 | industry, generally, what is your
- 9 understanding of the term terroir in the
- 10 | wine industry?
- MR. RANNELLS: Do you want to
- spell that for the reporter?
- MR. SCHLOSS: That's
- $14 \mid T-E-R-R-O-I-R$.
- 15 A. Speaking very generally,
- 16 | terroir refers to the growing conditions
- 17 in the geographic location where the
- 18 grapes are grown. And that would refer
- 19 to things like the quality and nature of
- 20 the soils. The amount of precipitation.
- 21 The temperature fluctuation. Those sorts
- 22 of things.
- Q. So you may have partially
- 24 answered this already, but why would
- 25 terroir be important in the context of

making marketing decisions for wines?

A. Wine is an agricultural

4 product. It's a product of the earth.

And historically, going back to Europe,

6 | it has always been strongly linked to the

earth and the climate that historically

8 has been expressed in a labeling

architecture in Europe called the

10 Geographical Indication System, where the

11 names of the products focus on the

12 qeography.

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In the United States, when the wine industry began taking root, pardon the pun, after repeal in the 1930s, there was no Geographical Indication System in place, and people, very naturally, started focusing on geographic terms to ground the wine in the earth.

And over the years and, in fact, today, those geographic terms are used both in terms of the federal government approved American viticultural areas, but also in terms of brand names and branding decisions, because it

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communicates place and terroir, which communicates authenticity and grounds the wine, you know, in the earth to the consumer.

- Q. Mr. Reidl, how would you distinguish between, thinking specifically about, about terms that appear on wine labels, how would you distinguish between a brand and a trademark?
- A. Well, first of all, under the Federal Alcohol Administration Act, which is the federal statute that created the regulatory system for alcohol beverages, including wines, all wine labels must have a brand name. It's a federal requirement.

And the brand name is typically, in fact, almost always, the largest designation on the label. A brand name is what you call the product. It's a marketing business concept.

A trademark is a legal concept that was created in common law and is

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- 2 governed by the Lanham Act, in this case.
- 3 And a trademark is something
- 4 that stands for a single source
- 5 identifier. Not all brand names would be
- 6 | single source identifiers, but all
- 7 trademarks would be.
- Q. So to be clear, some brand
- 9 names could also be trademarks?
- 10 A. Yes.
- Q. But not all, necessarily?
- 12 A. Correct.
- Q. Mr. Reidl, based on your
- 14 experiences at Gallo and your general
- 15 knowledge of the wine industry, what
- 16 | would you say are the most important
- 17 considerations in developing wine labels?
- 18 A. Well, I would answer that by
- 19 looking at the question from two
- 20 different perspectives.
- From the perspective of a
- 22 | trademark lawyer, your preference is to
- 23 have a brand name that also functions as
- 24 trademark so that you can protect the
- 25 name.

Similarly, from a design standpoint, you would want a label design or a trade dress design that you can protect.

Also from a legal standpoint, you would want to have brand names, designs, packaging that don't step on the toes of any other third party that's out there.

marketing standpoint, you want a brand name that doesn't step on anybody's toes, but you also want a brand name that resonates with consumers, that will communicate something about the product to consumers, that consumers will remember, and that is appropriate for the particular type of product, particular type of wine that it will be used on.

For example, a brand name for a three liter bag of box wine might not be appropriate for a \$50 premium Napa Valley red, and vice versa.

Q. What role, would you say,

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Mr. Reidl, is played by a wine label in the marketing and advertising of wines?

A. Well, your label is the face that you present to the consumer. And you would want to have a label or a package that consumers like and will remember.

More importantly, you would want something that would help distinguish the product from other competitive products or other products within your product line, as it sits there on the retail shelf, such that there is something about the label that grabs the consumer's attention so that they will pick it up and look at it, and hopefully, put it in their shopping cart.

MR. RANNELLS: At this time, I am going to place an objection on the record.

You prefaced this series of questions with based upon your experience at Gallo.

And I don't know that the

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- 2. marketing testimony in this, in response to your questions requires or 3 lends itself to expert testimony. 4 It's testimony that any marketing 5 person at any label can give.
- BY MR. SCHLOSS: 7

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- Mr. Reidl, turning for a moment Q. to the regulation of wine labels, what is a COLA?
- The term COLA is an acronym for Δ Certificate of Label Approval. Federal Alcohol Administration Act provides that no producer of wine over seven percent alcohol can sell that wine unless the label has been approved by the federal regulatory agency, which today is the Alcohol and Tobacco Tax and Trade Bureau or the TTB.
- Under the TTB's regulations, that approval form, if you will, is called the Certificate of Label Approval or the COLA.
- 2.4 So without a COLA, it is 2.5 unlawful to put the label on the package

2 and sell it in commerce, if the alcohol
3 is over seven percent.

MR. RANNELLS: I am going to
just place the same objection on the
record that this line of questioning
and the responses do not require or
lend themselves to expert testimony.

9 BY MR. SCHLOSS:

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- Q. Mr. Reidl, you mentioned earlier that your job responsibilities at Gallo included the review of wine labels, or draft or mock-ups of wine labels; is that correct?
 - A. That's correct.
- Q. Approximately, how many such labels did you review during your tenure at Gallo?
 - A. Thousands.
- Q. Mr. Reidl, what type of information typically appears on the back label of a wine?
- MR. RANNELLS: Same objection.
- A. Typically, the back label will contain the mandatory health warning. It

1	PAUL W. REIDL
2	will contain the bar code, the SKU
3	number. Typically, the information about
4	the bottler or importer will be on the
5	back label. There is typically some
6	branding on the back label. And if there
7	is any room left, there is typically
8	some, two or three sentences that talk
9	about the terroir and the flavor of the
10	wine.
11	Q. So how would you describe the
12	relationship between the functions of the
13	front label and the back label?
14	MR. RANNELLS: The same
15	objection.
16	A. Putting aside the regulatory
17	features, the back label reinforces the
18	message on the front label.
19	MR. SCHLOSS: Please mark this
2 0	as Exhibit 6.
21	[The Label Approval, Serial
22	number 04-053, was hereby marked as
23	Exhibit 6 for identification, as of
2 4	this date.]

BY MR. SCHLOSS:

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- Q. Mr. Reidl, I am showing you what's been marked as Exhibit 6.
- Can you tell me whether you recognize it?
- A. Yes.

- Q. What do you recognize it to be?
- A. It is an approved Certificate of Label Approval for an Estancia label.
- Q. How would you describe the way
 in which the term Pinnacles Ranches
 appears on this label?
- A. It is used as a vineyard designation.
- MR. RANNELLS: Same objection as before. And a failure to lay a foundation.
- 18 BY MR. SCHLOSS:
- Q. Mr. Reidl, based on your wine industry experience, what, in your view, is the messaging intended to be conveyed to consumers by this label?
- MR. RANNELLS: Same objections.
- A. Well, in a general sense, that the brand name is Estancia; that the wine

1	PAUL W. REIDL
2	originated in the Monterey growing area;
3	that the wine is made from pinot noir
4	grapes of the 2002 vintage; that the
5	grapes were grown on, in vineyards known
6	as Pinnacles Ranches; that they were
7	grown by one person, or one group of
8	people, hence the phrase proprietor grown
9	repeats that information and contains
10	the certain mandatory elements that are
11	required by federal law.
12	MR. SCHLOSS: Let's take a short
13	break, please.
14	(Off the record.)
15	BY MR. SCHLOSS:
16	Q. Mr. Reidl, looking at the,
17	looking further at the label we were just
18	discussing, there are some additional
19	elements there.
2 0	How would you characterize or
21	describe the function of the term
22	Estancia, on the label?
23	A. Well, referring to Exhibit 6
2 4	MR. RANNELLS: Before you go on,
25	can I just have a continuing objection

1	PAUL W. REIDL
2	with regard to this Exhibit 6, so I
3	don't have to keep interrupting?
4	MR. SCHLOSS: Sure.
5	MR. RANNELLS: Thank you.
6	A. Can I have the question back
7	again?
8	(The reporter read back as
9	follows:
10	"QUESTION: Mr. Reidl, looking
11	at the, looking further at the label
12	we were just discussing, there are
13	some additional elements there.
14	How would you characterize or
15	describe the function of the term
16	Estancia, on the label.")
17	A. Looking at Exhibit 6, Estancia
18	is the brand name. It's the most
19	dominant word, designation on the label.
2 0	Q. And towards the center top
21	portion of the label is a stylized letter
22	E.
23	How would you describe the
24	function of that element?
25	A. That's the logo for Estancia,

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- or I should say the logo for the Estancia brand. 3
 - And how would you characterize the intended messaging behind the words "proprietor grown" on the label?
 - It's a quality cue that is used to communicate in a general sense that there was a grape grower who happened to be the owner who grew the grapes from which the wine was made.
 - And finally, the significance of the term Monterey?
 - Monterey is the American viticultural area, although I believe it is also referred to as Monterey County. It is a growing area on the central coast of California, and it communicates the place, the geographic place where, in a general sense, where the wine originated.

MR. SCHLOSS: Let's mark this as Exhibit 7.

[The Label Approval, Serial number 05-0081, was hereby marked as Exhibit 7 for identification, as of

- 1 PAUL W. REIDL
- 2 this date.]
- 3 BY MR. SCHLOSS:
- Q. Mr. Reidl, I am showing you
- 5 | what's been marked as Exhibit 7.
- 6 Can you tell me whether you
- 7 recognize it?
- 8 A. I do.
- 9 Q. And what do you recognize it to
- 10 be?
- 11 A. It is an approved Certificate
- 12 of Label Approval for an Estancia brand
- 13 label.
- Q. Do you recall whether you've
- 15 seen this, the context in which you've
- 16 seen the particular label approval
- 17 before?
- 18 A. Yes.
- 19 Q. And what was that?
- 20 A. When I did my research in
- 21 preparation for the Rule 26 reports, I
- 22 researched labels in which the term
- 23 | Pinnacles or Pinnacles Ranches, Pinnacles
- 24 Vineyards, where Estancia Pinnacles had
- 25 been used. This was one of the

1	PAUL W. REIDL
2	Certificate of Label Approvals that I saw
3	during that research.
4	MR. RANNELLS: Let me just make
5	another objection at this time as to
6	testimony regarding the labeling
7	approvals that Mr. Reidl saw. Unless
8	the label approvals are here, they
9	would be the best evidence.
10	If they are not here, it's just
11	Mr. Reidl's recollection of what he
12	may have seen.
13	MR. SCHLOSS: Right.
14	Can we go off the record for a
15	second?
16	(Off the record.)
17	MR. SCHLOSS: Back on.
18	Q. Mr. Reidl, looking at the label
19	depicted as Exhibit 7, how would you
2 0	describe the intended marketing messaging
21	behind the term Pinnacles Ranches, as
22	depicted on this label?
23	MR. RANNELLS: May I have a
2 4	running objection with regard to

marketing questions concerning Exhibit

the position, in the manner that would be

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- consistent with a vineyard designation, communicating these were the ranches on which the grapes, the pinot noir groups were used in this wine were grown.
- Q. And those ranches are located in Monterey?
 - A. Yes.

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- 9 Q. And would the same be true of
 10 the messaging reflected in the label
 11 shown in Exhibit 6?
- 12 A. Yes. Although I would like to clarify my last answer.
- 14 O. Sure.
- A. Your question was Monterey.
- 16 And there are no vineyards in downtown
- 17 Monterey.
- 18 Q. Sure.
- 19 A. I was -- the label refers
- 20 generally to the Monterey County,
- 21 American viticultural area, which is
- 22 distinct from the City of Monterey.
- 23 O. Understood.
- And how would you describe the
- 25 nature of the use of the term Estancia on

1	PAUL W.	RETDI
	PAUL W.	KEIDL

- 2 | the label shown in Exhibit 7?
- A. Again, Estancia is the most
- 4 prominent term on the label. It's the
- 5 | brand name for the wine.
- 6 Q. And sorry, the stylized letter
- 7 | E on the top center of the label shown on
- 8 | Exhibit 7, how would you characterize
- 9 that?
- 10 A. The stylized letter E is the
- 11 | logo for the Estancia brand.
- 12 Q. And how would you describe the
- 13 use of the term Estancia as shown on the
- 14 back label portion of Exhibit 7?
- A. Which use?
- 16 Q. The -- I believe there was a
- 17 header on the back label?
- 18 A. As I mentioned earlier,
- 19 sometimes back labels are used to enforce
- 20 the primary branding. This would be an
- 21 example of that, where you have a second
- 22 repeat of the Estancia brand on the back
- 23 label.
- MR. SCHLOSS: Please mark this
- as Exhibit 8.

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- [The Label Approval, Serial number 05-0015 was hereby marked as Exhibit 8 for identification, as of this date.]
 - Q. Mr. Reidl, let me show you what's been marked as Exhibit 8. And tell me whether you recognize it?
 - A. I do.
- Q. What do you recognize it to be?
- A. This is, again, one of the Certificates of Label Approval that I looked at during my research of the expert reports.
 - Q. And how would you characterize or describe the appearance of the term -- why don't we just work down.
 - How would you describe the appearance of the term Estancia and on the label depicted here?
- 21 MR. RANNELLS: Same objection.
- A. Estancia is the largest
 designation on the label and the brand
 for the wine.
- Q. And beneath that, the term

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2 | Monterey?

- A. Monterey is the American
- 4 viticultural area, that indicates the
- 5 growing region where the grapes
- 6 originated.
- 7 Q. Okay. And the term Pinnacles
- 8 Ranches near the bottom?
- 9 A. Again, based on the label
- 10 architecture, that term is used to, as a
- 11 | vineyard designation to communicate that
- 12 | the pinot noir grapes were grown on those
- 13 | specific vineyards in the County of
- 14 Monterey.
- 15 Q. And the stylized E logo, the
- 16 top center of the front label, how would
- 17 | you describe that?
- 18 A. That is the logo for the
- 19 Estancia brand.
- Q. And the appearance of the term
- 21 Estancia as shown on the back label of
- 22 this Exhibit?
- A. Which use of Estancia?
- Q. I am sorry, the first use on
- 25 the header.

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- A. That is an example of reinforcing the primary brand name that appears on the label.
- 5 MR. SCHLOSS: Please mark this as Exhibit 9.

[The Label Approval, Serial number 06-0081 was hereby marked as Exhibit 9 for identification, as of this date.]

Q. Mr. Reidl, I am showing you what's been marked as Exhibit 9.

Do you recognize that?

- A. Yes.
- Q. What do you recognize it to be?
- A. It's an executed Certificate of Label Approval for an Estancia label.
 - Q. And was this a label approval document that you reviewed in the course of preparing your expert reports?
 - A. Yes.
 - Q. And how would you characterize the appearance of the term Estancia shown on the front label portion of this document?

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- 2 MR. RANNELLS: Same objection.
- A. Estancia is the principal designation on the label. And it is the
- 5 brand name for the wine.

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- Q. And the term Pinnacles Ranches on the front label of this document?
- A. Based on the label
 architecture, the term Pinnacles Ranches
 communicates that the chardonnay grapes
 that were used to make this wine were
 grown on vineyards named Pinnacles
- 13 Ranches in Monterey County, California.
- Q. And finally, with respect to
 this Exhibit, the appearance of the term
 Estancia in the header of the back label?
 - A. That reinforces the brand name Estancia that appears on the front label.
 - Q. All right. And the stylized letter E, I am sorry, on the front label?
- A. That is the logo for the Estancia brand.
- MR. SCHLOSS: Please mark this as Exhibit 10.
- [The Label Approval, Serial

	Page 41
1	PAUL W. REIDL
2	number 07-0151, was hereby marked as
3	Exhibit 10 for identification, as of
4	this date.]
5	Q. Mr. Reidl, I am showing you
6	what's been marked as Exhibit 10.
7	Can you tell me if you
8	recognize it?
9	A. Yes.
10	Q. Can you tell me what you
11	recognize it to be?
12	A. This is an executed or approved
13	Certificate of Label Approval for
14	Estancia brand wine.
15	Q. And was this one of the labels
16	that, label approval documents that you
17	reviewed in the course of preparing your
18	expert disclosures?
19	A. Yes.
2 0	Q. And how would you characterize
21	the appearance of the term Estancia on
22	the front label portion of this label
2 3	approval?

Estancia is the largest

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MR. RANNELLS: Same objections.

1	PAUL W. REIDL
2	designation on the label. It is the
3	brand name for the wine.
4	Q. And the term Pinnacles Ranches
5	as depicted on the front label portion?
6	A. Based on the label
7	architecture, that is a vineyard
8	designation that communicates that the
9	Sauvignon Blanc grapes that were used to
10	make the wine were grown in vineyards
11	called Pinnacles Ranches which is located
12	in Monterey County, California.
13	Q. You will notice that the back
14	label portion of this particular label of
15	the document differs from the last
16	several label approvals that we looked
17	at.
18	Can you describe those
19	differences in general terms?
2 0	MR. RANNELLS: Objection. The

22 BY MR. SCHLOSS:

Q. Can you characterize the -- well, this back label includes a map.

Do you see that?

document speaks for itself.

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A. I do.

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- Q. And does -- I see that the term Pinnacles Ranches appears on the map, and appears to be pointing to a particular location; is that right?
- A. Yes.
- Q. What would be the purpose of putting a map like this on a back label?

 MR. RANNELLS: Same objections.
- A. It further reinforces the geographic nature of the vineyard designation by pointing to a specific place in Monterey County where those vineyards are located.
- Q. And what would be the marketing messaging, as far as -- in your view, what would be the marketing messaging behind this further emphasis on the geographic location of the ranches?

 MR. RANNELLS: Same objections.
- A. To have a stronger communication of the specific geographic place. I note that the map uses some fairly well-known places, Monterey Bay,

1	PAUL W. REIDL
2	Carmel, Big Sur, Pacific Ocean, and it
3	places the vineyards in geographic
4	proximity to those fairly well-known
5	locations, which, again, grounds it even
6	deeper in a specific place.
7	Q. If you know, what would be the
8	marketing purpose in further highlighting
9	the geographic location of the ranches?
10	MR. RANNELLS: Same objections,
11	and in addition thereto, I don't see
12	how Mr. Reidl can testify as to what
13	Estancia intended.
14	MR. SCHLOSS: He can testify as
15	to his view of what they intended.
16	MR. RANNELLS: I didn't say he
17	couldn't. I am just placing my
18	objection on the record.
19	MR. SCHLOSS: Okay.
2 0	A. May I have the question back?
21	(The reporter read back as
2 2	follows:
2 3	"QUESTION: If you know, what
2 4	would be the marketing purpose in

further highlighting the geographic

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location of the ranches?")

- A. Well, I don't know what the Estancia marketing team intended. But, it's pretty clear that, to me, at least, that they wanted a heightened stronger message to consumers that this was the specific location of these ranches. It's a more specific message, as opposed to the generalized message.
- Q. Calling your attention to the copy that appears beneath the map, what would be the -- well, in what way would that copy relate to the map itself, other than just mentioning Pinnacles Ranches again, from a marketing perspective?
- A. Well, we talked earlier about the importance of terroir and how back labels can be used to communicate, in two or three very short sentences, greater information about the terroir, and the taste, and flavor and winemaking techniques and so on. And this is a pretty good example of that.

1	PAUL W. REIDL
2	reader that, you know, we have, the
3	grapes are influenced by the costal fog
4	and warm sunny days and this produces
5	great Sauvignon Blanc. And then the
6	remainder talks about, you know, the
7	specific type of winemaking practices,
8	specific flavors and food pairings.
9	MR. SCHLOSS: Please mark this
10	as Exhibit 11.
11	[The Label Approval, Serial
12	number 08-0174 was hereby marked as
13	Exhibit 11 for identification, as of
14	this date.]
15	Q. Mr. Reidl, I am showing you
16	what's been marked as Exhibit 11.
17	Do you recognize that?
18	A. Yes, I do.
19	Q. What do you recognize it to be?
2 0	A. It is an approved, a
21	Certificate of Label Approval for an
22	Estancia brand of Pinot Noir, and was one
23	of the Certificates of Label Approval
2 4	that I reviewed in the course of

preparing my Rule 26 report.

- 1 PAUL W. REIDL
- 2 BY MR. SCHLOSS:
- Q. Mr. Reidl, you have just been
- 4 given what would have been marked as
- 5 Exhibits 12 -- well, in addition to
- 6 | Exhibit 11 that you were given
- 7 originally, you also have been given
- 8 | what's been marked as Exhibits 12, 13, 14
- 9 and 15.
- 10 Please take a moment, and let
- 11 me know when you have finished going
- 12 through those.
- 13 (Witness reviews document.)
- 14 A. I am ready for your question.
- 15 Q. Okay. Mr. Reidl, do you
- 16 recognize each of these documents?
- 17 A. Yes.
- 18 Q. And what do you recognize them
- 19 to be?
- 20 A. They are approved Certificates
- 21 of Label Approval that I reviewed in the
- 22 | course of preparing my Rule 26 reports.
- 23 O. And on each of these label
- 24 approval documents, how would you
- 25 characterize the appearance of the term

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- 2 Estancia on the front label?
- 3 MR. RANNELLS: Same objections
- 4 as to all the Exhibits.
- A. Estancia is the most prominent designation, and is used as the brand name.
 - Q. And how about the appearance of the term Pinnacles Ranches on the front label of each of these Exhibits?
 - A. Given the label architecture, Pinnacles Ranches is used as a vineyard designation to communicate the specific vineyard, vineyards in Monterey County, California where the particular grape varietals were grown.
 - Q. And the stylized letter E at the top center portion of the front labels on each of these documents?
 - A. The stylized letter E is used as the logo for the brand name Estancia.
 - Q. Turning to the back label portions of each of these documents, how -- does each of those contain a map showing the location of Pinnacles

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	Page 50
1	PAUL W. REIDL
2	Ranches?
3	A. Yes.
4	Q. And how would you characterize
5	the use of the term Pinnacles Ranches on
6	each of these back labels?
7	A. As I said with respect to the
8	previous Exhibit that had the map,
9	Exhibit 10, Pinnacles Ranches is used as
10	the name of the ranch, the vineyards in
11	which the grapes were grown, in Monterey
12	County, California.
13	Q. Does the term Pinnacles,
14	standing alone apart from the vineyard
15	designation, Pinnacles Ranches, appear on
16	any of the, of any of the COLA documents
17	that we looked at thus far?
18	A. No.
19	MR. RANNELLS: Can you read back
2 0	that?
21	(The reporter read back as
22	follows:
2.3	"OUESTION: Does the term

Pinnacles, standing alone apart from

the vineyard designation, Pinnacles

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1	PAUL W. REIDL
2	Ranches, appear on any of the, of any
3	of the COLA documents that we looked
4	at thus far?"
5	"ANSWER: No.")
6	MR. RANNELLS: I just object to
7	the use of the word "vineyard
8	designation."
9	MR. SCHLOSS: What is the
10	objection?
11	MR. RANNELLS: It doesn't say
12	vineyard designation on it.
13	MR. SCHLOSS: He's characterized
14	them as vineyard designations.
15	MR. RANNELLS: As he
16	characterized it, yes.
17	MR. SCHLOSS: Short break,
18	please.
19	(Off the record.)
2 0	MR. SCHLOSS: Mark this as 16.
21	[Color version of neck hangers
22	for Estancia brand wine, was hereby
2 3	marked as Exhibit 16 for
2 4	identification, as of this date.]
2 5	BY MR. SCHLOSS:

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Q. Mr. Reidl, I am showing you what's been marked as Exhibit 16.

Can you tell me whether you recognize what's depicted there? Take your time.

(Witness reviews document.)

- A. I do.
- Q. What do you recognize it to be?
- A. These are neck hangers or neckers for Estancia brand wine. And they are color versions of the black and white versions that you provided to me in connection with my Rule 26 report.
- Q. For the record, what are neck hangers?
- A. A neck hanger is a point of sale promotional piece that is designed to be slipped over the neck of the bottle and then somewhat folded so that the flat part is observable to the consumer at the point of purchase.

And a necker can have, can serve an informational function, or a coupon-type function or copromotion-type

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function.

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- Q. And in your view, what is the marketing messaging intended to be conveyed by these neckers?
- 6 MR. RANNELLS: Same objections.
 - A. Well, these are all for

 Estancia wine, and they offer discounts,

 either on purchase of Estancia wine or on
 a copromotional item.
 - And the purpose is to get people to pick up the bottle of wine on the shelf and purchase it.
 - Q. And from the perspective of brand-specific messaging, how would you characterize that messaging as reflected in these neckers?
- 18 MR. RANNELLS: Same objections.
- 19 A. It is all for Estancia wine.
- MR. SCHLOSS: Mark this as 17, please.
- [Trade brochure given to
 distributors or retailers, was hereby
 marked as Exhibit 17 for
 identification, as of this date.]

1	PAUL	W.	REIDL

- BY MR. SCHLOSS:
- Q. Mr. Reidl, I am showing you what's been marked as Exhibit 17.
- 5 Can you tell me whether you 6 recognize it?
- A. I do.

- Q. What do you recognize it to be?
- A. This is a color version of a document that you provided to me that I understood was produced in discovery and that I used in connection with my --
- 13 preparing my Rule 26 report.
- It is in the nature of a trade
 brochure to be given to distributors or
 retailers.
- MR. RANNELLS: Same objections.
- MR. SCHLOSS: Sure.
- Q. Turning initial to the first three --
- MR. RANNELLS: I would also object -- I am sorry.
- I would also object on the basis
 that since Mr. Reidl hasn't worked for
 Estancia, he wouldn't know whether or

1	PAUL W. REIDL
2	not what he characterized as a trade
3	brochure was ever shown to a retailer.
4	MR. SCHLOSS: Okay.
5	Q. Mr. Reidl, showing you
6	turning to the first three pages of
7	Exhibit 17, from a marketing messaging
8	perspective, what consumer messaging or
9	retailer messaging, in this case well,
10	let me rephrase that.
11	What messaging would be
12	conveyed to the recipient of these pages?
13	MR. RANNELLS: The same
14	objections.
15	A. These three pages all refer to
16	programs for Estancia wine. They show a
17	sample floor display for Estancia wine.
18	Some bottle shots. Some ratings. And
19	the message is Estancia is a great wine.
2 0	You should buy it.
21	MR. RANNELLS: Just for
2 2	clarification, you meant the first
2 3	three pages, because I have five?
2 4	THE WITNESS: The first three

pages, that's what I was asked.

MR. SCHLOSS: The first three.

And we will ask about the subsequent part in a moment.

- Q. Mr. Reidl, directing your attention to the fourth page, how would you characterize the messaging to recipients conveyed by the copy here?
- A. Well, this gets -- we talked about the concept of terroir. And the piece talks about the terroir in Monterey County, Salinas Valley, the various vineyards, Santa Lucia Highlands where the grapes were grown, and provides a specific map as to where those vineyards are located.
- Q. And is one of the vineyards shown there Pinnacles Ranches, just for clarity?
- A. The vineyard that is -- the piece -- the text of the piece discusses Pinnacles Ranches. The map references an Estancia Pinnacles Vineyard.
- Q. Okay. Turning your attention to the following page of this Exhibit,

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can you, can you describe the messaging that you believe was intended to be conveyed by these images in this copy?

MR. RANNELLS: The same

objections.

- A. It's the same as the previous page. It is a more specific discussion of the characteristics of Pinnacles Vineyard.
- Q. And finally, Mr. Reidl, turning your attention to the last page of this Exhibit, can you characterize the messaging intended to be conveyed by this page?
- A. That's a larger version of the map. It is depicted, as we talked about earlier, it shows the location of various vineyards, citing them in Monterey County between the mountains, close to the Pacific Ocean, and it shows Pinnacles Vineyard. And there is a text call-out above that which shows that the Pinnacles Vineyard is adjacent to the Pinnacles Monument.

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- Q. If you know, what is the
- 3 | Pinnacles Monument?
- 4 A. It is a designated wilderness
- 5 area in the Gabilan Mountains in
- 6 California.
- 7 MR. RANNELLS: Same objections.
- 8 Q. Have you been there?
- 9 A. Yes.
- 10 Page shot from the Estancia
- 11 Website, www.estanciaestates.com, was
- hereby marked as Exhibit 18 for
- identification, as of this date.]
- 14 BY MR. SCHLOSS:
- 15 Q. I am going to show you Exhibit
- 16 18, and tell me if you recognize that?
- 17 A. I do.
- 18 Q. What do you recognize it to be?
- 19 A. It's a page shot from the
- 20 | Estancia Website, www.estanciaestates.com.
- 21 | And it was one of the things I looked at
- 22 | in preparing my Rule 26 report.
- Q. In your experience, how do
- 24 | wineries use Websites in selling and
- 25 | marketing wine products?

MR. RANNELLS: Same objections.

- A. Websites have become a very important tool for wineries to provide additional information about their wines, about how they are made, about the vineyards, additional information about wines, generally. Additional information about the company, generally. And also to gather information about consumers by having fan clubs, or guest books or that sort of thing. Very important marketing tool for wineries these days.
- Q. Calling your attention to the image of the 2007 Chardonnay, showing on the lower left-hand portion of this printout, what marketing or other communications do you think were intended to be conveyed by the depiction of the Chardonnay shown here?

MR. RANNELLS: Same objections.

A. Well, it's a glory shot of the bottle. And you want consumers to see the package so that they recognize it when they go to the store and hopefully

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- Q. Is there a particular brand
 messaging intended to be conveyed, in
 your view, by this depiction of the 2007
 Chardonnay?
- 7 MR. RANNELLS: Same objections.
 - A. Well, it's a bottle of the Estancia Chardonnay. And the page itself discusses Estancia Chardonnay, 2007
 Vintage.
 - Q. How would you characterize the appearance of the term Pinnacles Ranches and/or Pinnacles Vineyards on this page?

 MR. RANNELLS: Same objections.
 - A. As I said previously with respect to the map on Exhibit 17, it explains the location of Pinnacles
 Vineyard in the Salinas Valley at the base of the Gabilan Mountains. It tells the consumer the specific geographic place where it's located.
 - Q. Mr. Reidl, in your experience
 -- well, let me ask you -- strike that.
 Mr. Reidl, earlier in your

1	PAUL W. REIDL
2	testimony this morning you talked about
3	the difference between a brand and a
4	trademark.
5	What is the difference between
6	a brand and a subbrand?
7	MR. RANNELLS: Same objections.
8	A. In the wine context, a subbrand
9	communicates something about the
10	principal brand of the product.
11	Q. What sort of things might be
12	communicated?
13	A. Your typical subbrand would be
14	a vineyard designation that would
15	communicate to the consumer the specific
16	vineyard or the specific geographic
17	location where the grapes were grown that
18	went into the wine that is being sold
19	under the principal brand.
2 0	Q. And earlier in your testimony
21	you mentioned that while a brand can be a
22	trademark, not all brands are trademarks.
23	Is the same true with respect
2 4	to subbrands?

MR. RANNELLS: The same

- 2 objections.
- 3 A. Yes.

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- Q. In other words, a subbrand can be a trademark, but not all subbrands are trademarks?
- A. Correct.
 - Q. Mr. Reidl, in the COLAs we looked at today during your testimony, is the term Pinnacles Ranches a subbrand, or something else or -- is it a subbrand, or a trademark or both?
 - MR. RANNELLS: The same objection. Plus it calls for a legal conclusion.
 - A. The designation Pinnacles
 Ranches, as used on those labels, is
 clearly subordinate to the principal
 brand Estancia. It is being used as a
 geographic term to tell consumers the
 specific geographic place where the wine
 grapes were grown that went into the
 Estancia brand wine.
 - Q. And in the other materials that we've looked at today, is there anything

1	PAUL W. REIDL
2	that has messaging behind the term
3	Pinnacles Ranches that differs in some
4	way from what you've just described?
5	MR. RANNELLS: Same objections.
6	A. No.
7	Q. Mr. Reidl, in the context of a,
8	of wine bottles, wine packaging, what is
9	a capsule?
10	MR. RANNELLS: Same objections.
11	MR. SCHLOSS: Sorry, what's the
12	objection in this case?
13	MR. RANNELLS: That the question
14	does not require or lend itself to
15	expert testimony.
16	MR. SCHLOSS: Okay.
17	MR. RANNELLS: It's my
18	understanding that the purpose of
19	Mr. Reidl testifying here today is
2 0	that you're relying upon his testimony
21	as expert testimony.
22	MR. SCHLOSS: Yes.
23	MR. RANNELLS: That's the basis
2 4	of my objection.
25	MR. SCHLOSS: Okay.

MR. SCHLOSS: Okay.

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- A. May I have the question back, please?
- 4 (The reporter read back as follows:
- "QUESTION: Mr. Reidl, in the context of a, of wine bottles, wine packaging, what is a capsule?")
- 9 A capsule is a PVC sleeve that 10 is dropped over the neck of the bottle 1 1 during the bottling process, and it 12 serves the function of protecting the 1.3 cork, providing some aesthetic value to 14 the package, and if it is long enough, 15 and most of them are, it covers the gap 16 between the fill line and the bottom of 17 the cork.
- Q. Are there any other functions served by a capsule?
- 20 MR. RANNELLS: Same objections.
- A. There is an aesthetic function in sometimes you see -- sometimes it is used to provide yet another opportunity to reinforce the branding on the principal label of the product.

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1	PAUL W. REIDL
2	MR. SCHLOSS: Off the record for
3	a second.
4	(Off the record.)
5	MR. SCHLOSS: Exhibit 19.
6	[Photographs of a 2008 Chardonnay
7	produced by Franciscan, was hereby
8	marked as Exhibit 19 for
9	identification, as of this date.]
1 0	MR. RANNELLS: I will stipulate
11	that this document is an Exhibit in
12	another testimony deposition that we
1 3	took in San Francisco, and that I am,
1 4	my client is not claiming this to be
1 5	confidential.
16	MR. SCHLOSS: Thank you.
17	Q. Mr. Reidl, I am showing you
18	what's been marked as Exhibit 19. And I
1 9	am going to represent to you that Exhibit
2 0	19 consists of images in the nature of
21	photographs of a 2008 Chardonnay produced
2 2	by Franciscan.
2 3	In looking at the label

depicted on this Chardonnay, what is the

intended consumer messaging, in your

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2 | view?

- A. Well, the brand name is
- 4 Estancia.
- 5 MR. RANNELLS: I am sorry, the same objections.
- 7 A. And it has the Estancia logo.
- 8 | Below the Estancia logo, the information
- 9 communicates to consumers that the wine
- 10 is made from chardonnay grapes. And it
- 11 | was grown in Monterey County on vineyards
- 12 known as Pinnacles Ranches. That they
- 13 | were harvested in the year 2008. And
- 14 that some special care was given to the
- 15 making of the wine because it was
- 16 handcrafted.
- Q. For a moment, just turning
- 18 quickly to the second page of the
- 19 Exhibit, there is a map depicted there
- 20 with some copy.
- Is that map -- is that map and
- 22 | is that copy consistent with the COLAs
- 23 | that we looked at this morning reflecting
- 24 similar wrap and copy, as far as you can
- 25 tell?

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- A. It better be identical to the COLAs that we looked at this morning.
 - Q. Fair enough.
- 5 A. But in terms of messaging, yes, 6 it's the same messaging.

All right. Turning your

attention back to the first page of the Exhibit, and then also to the third and fourth pages, well, maybe not the fourth, because the fourth is particularly difficult to read, but the first and third pages depict, in part, the capsule portion of this 2008 Chardonnay.

Can you tell what's depicted on the capsule portion?

- A. The photo was blurry, but the term that appears there is the word Pinnacles, and I know that because I observed this package on a retail shelf at a supermarket in Modesto, California, as I explained in my Rule 26 report.
 - Q. Right.
- MR. RANNELLS: I just put on the record that the photograph taken

1	PAUL W. REIDL
2	that's comprised of Exhibit 19 were
3	taken with a cell phone in a cabinet
4	at the offices of Constellation Wines
5	U.S., and that's probably why they are
6	blurry.
7	THE WITNESS: And here I thought
8	it was my glasses.
9	MR. SCHLOSS: Off the record for
10	a second.
11	(Off the record.)
12	[2005 Chardonnay produced by
13	Franciscan, was hereby marked as
14	Exhibit 20 for identification, as of
15	this date.]
16	BY MR. SCHLOSS:
17	Q. Mr. Reidl, I am showing you
18	what's been marked as Exhibit 20. And I
19	will represent to you that that is a 2005
2 0	Chardonnay produced by Franciscan.
21	Calling your attention to the
22	capsule portion of that bottle, can you
23	describe what appears there?
24	MR. RANNELLS: Same objections.
25	A. The top of the capsule has the

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- 2 Estancia logo, and reinforces the logo 3 design on the front.
 - The bottom of the capsule has three impressions of the word Pinnacles in a gold type parallel to the bottom of the capsule.
 - Q. And what, in your view, is the messaging conveyed, if any, by the term Pinnacles on the capsule of this bottle?

 MR. RANNELLS: Same objections.
 - A. I don't believe it conveys any message. It's just there.
 - Q. When you say you don't believe it conveys any message, why is that?

 MR. RANNELLS: Same objection.
 - A. There are several reasons.

 First of all, it doesn't reinforce any branding on the front label or the back label.
 - Secondly, the type is so small, and the font is so small that from the standpoint of a retail shelf, the consumer can't see it. And I know that because when I was looking for this

product and I observed it on the shelf at the supermarket, you could see a gold band around the bottom, but the word was not -- was simply not legible. And because the word was not legible, it would not serve a function to consumers to communicate anything about the package.

Stated somewhat differently, they couldn't make a purchasing decision based on something that they could not see.

And the third reason I would say that is if, in fact, they wanted to create an independent consumer impression, doing that on the capsule, due to production reasons, would not make a great deal of sense.

- Q. What do you mean by "production reasons"?
- A. You will notice on Exhibit 19
 how the term Pinnacles appears to be
 centered straight down through the E on
 the package.

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And on Exhibit 20, it's off-center, so that if you look at it straight on, you see an N-A-C-L-E-S and then a space and a P-I. So you don't even see the complete impression of the word. That's due to the way that these capsules are placed on the bottle. As the bottle comes down the bottling line, there is a -- it gets filled. It gets corked.

- Q. Is that by a machine?
- A. By a machine. The capsules are, several hundred at a time, stacked up in the machine. And they are just dropped down onto the bottle and then clamped. And then the labels are applied.

So because of the randomness of the manner in which the capsule is placed on the bottle, there is no way, from a production standpoint, that you can guarantee that all of those capsules would be dead bang centered on the front label, especially if the front label

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1	PAUL W. REIDL
2	isn't yet on the bottle, because you
3	don't know where the front of the bottle
4	is going to be.
5	And that would explain why the
6	two were off-center on Exhibits 19 and
7	20.
8	I should add to that, that the
9	bottles that I observed of the Estancia
L O	Pinnacles Ranches wines were very similar
L 1	to this, in that the or to Exhibits 19
L 2	and 20, in that the capsules were not
L 3	uniformly placed on the bottle. They
L 4	were in different positions vis-à-vis the
L 5	midline of the label.
L 6	Q. So the lettering appeared
L 7	differently?
L 8	A. Yes.
L 9	MR. SCHLOSS: Short break.
2 0	(Off the record.)
21	(Lunch recess.)
2 2	(Time noted: 12:32 p.m.)
2 3	
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L	PAUL	W.	REIDL

(Time noted: 1:46 p.m.)

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4 EXAMINATION BY MR. SCHLOSS (Continued):

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Q. Good afternoon, Mr. Reidl. I wanted to return for a few moments to your tenure as President of INTA.

Can you describe some of the activities that you were involved with as president that were specific to the wine industry?

A. There were several things that come to mind, as I sit here, and I am sure that there were others since the wine and alcohol members of INTA are very important members to that association.

The first thing I recall is there had been a misunderstanding within the patent trademark office over the application of Article 22 of TRIPS, and the implementation of that by amendments to the Lanham Act, specifically with respect to the registration of geographic brand names for wine.

Many examining attorneys were taking the position that Article 22 is absolute and that no geographic term could be registered for wine.

The industry view, as well as my own view and INTA's view was that that was incorrect, and that the proscriptions of Article 22, as incorporated into the Lanham Act, were applied only to geographic indications, which is a small subset of the overall geographic designation question.

I met with the then

Commissioner for Trademarks Lynne

Beresford, discussed that issue and some other issues that were unrelated to it; worked with a team to put together the appropriate position paper and successfully persuaded the patent trademark office to change its view on the application of Article 22, TRIPS.

In addition to, that there was

work that was done on an international

scale with respect to rules regarding

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geographic indications, primarily in

Europe and certain bilateral agreements

and negotiations between the United

States and other countries in the

European Union over the application of

geographic indications to trademarks.

Specifically, INTA developed and advocated a first in time, first in right policy with respect to resolving priority disputes. And I signed, reviewed, worked on a number of communications with various organizations including the United States Government with respect to that.

The third issue I can think of is the 2006 amendments to the dilution provision, provisions of the Lanham Act, I was heavily involved in that, both before I became president and as president.

I believe, but am not sure, that there was a TTB rulemaking at the time, as well as some other things going on with TTB regarding the application of

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- geographic indications, and have all caught up in the Napa Ridge controversy.

 And INTA and I were involved in that, as
- 4 And INTA and I were involved in that, as well.
- There may be other things, I am sure there are, but that is what I can recall, as I sit here.
 - Q. Briefly, what was the Napa Ridge controversy?
- In a nutshell, a producer, not 1 1 12 -- a -- the TTB's geographic indication 1.3 rules grandfather brand names existing in 14 Certificates of Label Approval prior to a 15 certain date. And there was a producer 16 who bought a bottling facility in Napa 17 Valley and acquired the Napa Ridge 18 trademark, and was, on the authority of that exception, if you will, of 19
- wine or non-Napa County wine in Napa

 Valley and using the Napa Ridge brand
- 23 name.

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It was extremely controversial

grandfathering, was bottling non-Napa

25 in the wine world and in the trademark

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- world. There was a lot of litigation, and rulemaking and other high-level policy discussions surrounding that.
- Q. Mr. Reidl, I am not sure whether you already mentioned this when you talked about some of your INTA activities, but at some point, do you recall giving a training session to trademark examining attorneys who deal with Class 33 goods?
- 12 A. Yes.

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- Q. And the -- what was the -- was the subject matter of that training session largely related to the TRIPS issues that you mentioned?
 - A. Yes. INTA has regularly had sit-down sessions with examining attorneys with the objective of educating the examining attorneys to the branding specific issues in their industry, or how their industries function, or so on and so forth.
- I gave a presentation to the examining attorneys on wine brand names,

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- explaining to them the various origins of those names, and as part of that, went through some of the materials that are in my Rule 26 report about the importance of terroir and geography, and so on and so forth.
 - I took that opportunity to address head on what I believed was a misapplication of Article 22 of TRIPS to all geographic brand names, as opposed to those that were just geographic indications.
 - So that was a precursor to the activities that I undertook as INTA President.
 - Q. Mr. Reidl, turning for a moment to a couple of the conclusions in your Rule 26 disclosure, one of the conclusions that you reached, it's in paragraph 27 of your disclosures in the, in the original Pinnacles opposition, was that --
- MR. RANNELLS: Exhibit 4?
- MR. SCHLOSS: Yes.

Q. -- was that the term Pinnacles, standing alone that is, has, at most, weak trademark significance.

Why did you reach that conclusion?

MR. RANNELLS: Same objection as to, that I made before, regarding that that does not require or lend itself to expert testimony.

A. The registration of Pinnacles, as you know, have been on the principal register for a considerable period of time. It was originally registered by, I believe it was Paul Masson who -- an organization that no longer exists, and it's gone through several assignments to its current owner.

When you ask yourself what constitutes a strong trademark, you look at the traditional indicia of sales, promotional expenditures, consumer recognition over time. The Certificates of Label Approval reflects that Pinnacle has not been used on a label for a

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considerable period of time. It has not been used as a principal brand name for a considerable period of time. That in the documents that were provided to me, there was no, there was no indication of sales of a Pinnacles wine. There was no indication of promotional expenditures for a Pinnacles wine. There were no point of sale materials for Pinnacle There was no label for Pinnacles wine. There was no marketing plans for wine. Pinnacles wine. There was no business plan for Pinnacles wine. There was nothing that would reflect or suggest that Pinnacles has been used in any way that would have developed any kind of consumer recognition.

And therefore, while I understand the board has held that the registration was valid because it believes that the use on the capsule is sufficient, it is a technically valid trademark, but not one that has any strength in terms of the traditional

1	PAUL W. REIDL
2	indicia for measuring strength.
3	Q. Mr. Reidl, turning to your
4	expert disclosure in the Pinnacles
5	Ranches Opposition. Paragraph 22.
6	At the header preceding
7	paragraph 22, you state
8	MR. RANNELLS: For the record,
9	we're referring to Exhibit 5, right?
10	MR. SCHLOSS: Exhibit 5, yes,
11	thank you.
12	Q "The designation Pinnacles
13	Ranches has not acquired trademark
14	significance."
15	And then in the header
16	preceding paragraph 35 of the same
17	document, you state and explain in some
18	detail that "The term Pinnacles Ranches
19	is a weak geographic term."
2 0	Can you explain the reason for
21	those conclusions?
22	MR. RANNELLS: Same objection.
23	A. As with my previous answer, the
24	question turns on what the brand owner

has done to establish consumer

2 recognition as a single source

3 | identifier.

And we spoke previously about
the difference between a brand name or
label designation and a trademark, with
the latter being a single source

8 identifier.

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If you look at the usage of the designation by Franciscan, the traditional indicia for developing trademark significance, it's used exclusively as a finger designation, a designation place.

It's used exclusively in connection with the primary brand Estancia and other geographic terms such as Monterey County, Salinas Valley that reinforce the consumer perception that the term is one of geographic place. It's used on a map adjacent to the Pinnacles National Monument.

There are no independent sales
of a wine called Pinnacles Ranch or
Pinnacles Ranches. There are no

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independent promotional expenditures of such a wine. There is no residual goodwill from a Pinnacle brand even because that hasn't been used on its own for so long.

And when you look at the marketing materials, some of which we've looked at today, you look at the back labels, you see that the people who put together the labels seem to have made a conscious decision to emphasize the geographic nature of the term by talking about the vineyards, by talking about the location of the vineyards, by having a map indicate the location of the vineyards.

All of which would seem, in my view, to reflect that they are treating this as a geographic term and not attempting to develop trademark significance for it.

And even if they had inadvertently been developing trademark significance, none of the traditional

indicia for that are present.

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MR. RANNELLS: Before you go on, I will just put an objection on the record, to the extent that the testimony is meant to attack the validity of the Pinnacles' registration, it's the -- I would just put on the record that the mark is incontestable.

And with regard to Pinnacles
Ranches, I would object on the basis
that some of the issues concerning
both of these cases, or at least the
first case, and issues of abandonment
and/or whether the mark functions as a
trademark, have already been
determined by summary judgment.

MR. SCHLOSS: I would just say in response that even incontestable marks can be weak. And I would say, as well, there has been no finding of any kind with respect to Pinnacles Ranches, whether it's used as a trademark or otherwise. Okay.

	lage 03
1	PAUL W. REIDL
2	[The document entitled
3	Declaration of Ronald C. Fondiller,
4	was hereby marked as Exhibit 21 for
5	identification, as of this date.]
6	BY MR. SCHLOSS:
7	Q. Mr. Reidl, I am showing you
8	what has been marked as Exhibit 21.
9	Can you tell me whether you
10	recognize that?
11	A. I have seen that, yes.
12	Q. What do you recognize it to be?
13	A. This is a Declaration of Ron
14	Fondiller in support of a motion to
15	dismiss that was made in response to a
16	declaratory judgment action that was
17	brought by White Rock Distilleries in the
18	Northern District of California.
19	Q. Did you review this declaration
2 0	in connection with the preparation of
21	your Rule 26 disclosure?
22	A. Yes.
23	Q. At paragraph 4 of

Mr. Fondiller's declaration, he states

"Under the circumstances, it was never

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1	PAUL W. REIDL
2	the intention of FVI to challenge WRD's
3	current use of its Pinnacle trademark,
4	i.e., on vodka and flavored vodkas.
5	We have never made and never
6	will make any demands on WRD to cease and
7	desist from its current use of the
8	Pinnacle trademark.
9	Our sole concern is the
10	registration of WRD's Pinnacle
11	application and international Class 33 in
12	the USPTO. As such, a registration
13	necessarily would weaken FVI's,
14	Pinnacle's registration."
15	In your disclosures, at
16	paragraph 30
17	MR. RANNELLS: Which Exhibit?
18	MR. SCHLOSS: This would be
19	Exhibit 4.
2 0	Q you stated at the bottom of
21	Page 14 and the top of Page 15, referring
22	to part of Mr. Fondiller's statement that
23	I just read, "Implicit" this is in
24	your expert disclosure, you say "Implicit

in this statement is the assumption that

1	PAUL W. REIDL
2	consumer confusion is unlikely, otherwise
3	the company with the resources of
4	Constellation would not have hesitated to
5	protect its franchise and its loyal
6	consumers from consumer confusion."
7	Can you explain why you, why
8	you reached that understanding?
9	MR. RANNELLS: Objection. Does
L O	not require or lend itself to expert
L 1	testimony.
L 2	MR. SCHLOSS: Well, I am asking
L 3	why he said what he said in his expert
L 4	disclosure.
L 5	MR. RANNELLS: Right. And I am
L 6	objecting to it as expert testimony.
L 7	MR. SCHLOSS: Okay.
L 8	MR. RANNELLS: Or as the need to
L 9	have an expert make such a statement.
2 0	MR. SCHLOSS: Okay.
21	A. May I have the question back,
2 2	please?
2 3	(The reporter read back as
2 4	follows:
5	"OHESTION. Von stated at the

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bottom of Page 14 and the top of Page 15, referring to part of

Mr. Fondiller's statement that I just read, "Implicit" -- this is in your expert disclosure, you say "Implicit in this statement is the assumption that consumer confusion is unlikely, otherwise the company with the resources of Constellation would not have hesitated to protect its franchise and its loyal consumers from consumer confusion."

Can you explain why you, why you reached that understanding?")

A. It is -- my reaction to paragraph 4 of the declaration was that it was a remarkable statement to make because it essentially acknowledged that the two brands could coexist without any marketplace impact on Pinnacles brand. And that necessarily would mean that there was no Pinnacles franchise to protect.

So I read this and was frankly,

1	PAUL W. REIDL
2	I was quite astonished. And that's the
3	only reason I could think of why that
4	statement would be made because I've
5	observed Constellation over the years; if
6	they have a franchise to defend, they
7	defend it and defend it aggressively.
8	Q. Constellation being the parent
9	company of Franciscan?
10	A. Yes.
11	MR. SCHLOSS: Take five minutes.
12	(Off the record.)
13	MR. SCHLOSS: Thank you,
14	Mr. Reidl.
15	I think we're done with the
16	direct portion of our questioning.
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18	EXAMINATION BY MR. RANNELLS:
19	Q. Good afternoon, Mr. Reidl. My
2 0	name is John Rannells from Baker and
21	Rannells, PA. We represent Franciscan
2 2	Vineyards, Inc. in these two proceedings.
2 3	If I say anything to you, or
2 4	ask you a question and you don't

understand what I am saying, or you want

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clarification, please just let me know and I will do my best to rephrase the question to make it as clear as possible.

If you need to take a break at any time, for any reason, let me know so we can afford you that opportunity.

A. Got it.

MR. RANNELLS: Are we going to continue from the numbering from the last one?

MR. SCHLOSS: That's fine.

MR. RANNELLS: We are on 22.

[The document depicting the search site for Certificates of Label Approval, was hereby marked as Exhibit 22 for identification, as of this date.]

- Q. Mr. Reidl, the reporter has just handed you what's been marked as Exhibit 22. Do you recognize this type of form?
- A. This is the homepage, if you will, of the search site for Certificates of Label Approval that is maintained by

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- 2 the Alcohol and Tobacco Tax and Trade
 3 Bureau.
 - Q. And did you use this form or this search engine in doing the searches that you refer to in your two reports?
- 7 A. Can I have the question back, 8 please.
- 9 (The reporter read back as follows:
 - "QUESTION: And did you use this form or this search engine in doing the searches that you refer to in your two reports?")
 - A. I used the search engine.
 - Q. Okay. And under Product Name, what terms did you insert in the section for Product Name in conducting your searches?
 - A. Well, I did several searches.

 I did a search for Pinnacle. I did a search for Pinnacles, plural. I did a search for Pinnacles Ranches, for Pinnacles Vineyard. I did a search for Estancia. I did a search for Franciscan.

- 2 | I did a search for at least one of the
- 3 other vineyard properties held by
- 4 Franciscan as part of the Salinas Valley
- 5 holdings. I can't remember the name of
- 6 it. And I probably did some others, as
- $7 \mid \text{well.}$
- Q. Did you do a search -- did you
- 9 search the term Paul Masson?
- 10 A. I don't recall.
- 11 Q. Did you search for both Brand
- 12 | Names and Fanciful Names?
- 13 A. I used the category Either.
- 14 Q. To your knowledge, is there a
- 15 statutory or regulatory definition of the
- 16 term Fanciful Name?
- 17 A. I believe, but am not certain,
- 18 that it refers to a designation on the
- 19 label other than the AVA or the brand
- 20 name.
- Q. And what is your understanding
- 22 of what the term Fanciful Name means in
- 23 so far -- when it's used in the context
- 24 of a COLA search?
- 25 A. If I can draw your example to

2 Exhibit 6, which is a COLA form.

Q. Yes.

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A. You will see on line 5, there is a space for the "Brand Name," and it says "(Required)."

On line 6, there is a space for "Fanciful Name (If any)," because it is not required.

In my experience -- the top
part of the form is filled out by the
compliance person who represents the
brand owner, which could be a third-party
company or it could be a compliance
person for the particular organization.

If you look at the COLAs here, you will see Pacific Wine Partners, d/b/a Estancia Estates is filled out in Exhibit 6. There's one -- Franciscan has filled one out. And I think even Constellation has filled one out.

So, what goes in that box 5 and 6 is self-selected by the compliance person who completes the form. And then the TTB representative who reviews the

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- 2. form will look at the label and satisfy themselves that that is accurate. 3
- So the compliance person 4 selects the Brand Name, selects the 5 Fanciful Name, whatever they feel is a 6 Fanciful Name, if any, on the label. And that's my understanding of how that is 8 completed.
 - With regard to the term Fanciful Name, would a varietal, for example, chardonnay, pino noir, would those be considered fanciful names?
 - A pure varietal name, no.
 - To your knowledge, do people in the industry ever put in varietal names under the Fanciful Name in their COLA applications?
 - Α. I am not aware of that being done. However, there are -- for nonvarietal designated names, it is possible to create the name for the wine that would be a Fanciful Name. And to that extent, it would be in box 6.
 - Q. What was Gallo's customary use

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- 2 of the -- or did they make any customary
- 3 use of the Fanciful Name box, to your
- 4 knowledge?
- 5 A. To the extent there was a
- 6 | Fanciful Name on the label, and it would
- 7 be in box 6.
- Q. It said Fanciful Name is not
- 9 required.
- 10 If there is a secondary name on
- 11 a label, would it then be -- would there
- 12 be a requirement of putting that
- 13 secondary mark or designation in the
- 14 Fanciful Name box?
- 15 A. Yes.
- Q. And is that set by statute or
- 17 regulation?
- 18 A. I believe that's regulation.
- 19 Q. When you did your searches of
- 20 the COLAs, were you able to obtain in
- 21 each case an actual copy of the label
- 22 | approval on the search engine Website
- 23 itself?
- A. The answer to your question is
- 25 no because, as reflected in paragraph 15,

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- 2 | line 9 of my report --
- Q. Just identify the Exhibit number for the record, please?
- 5 A. Oh, I am sorry. That's Exhibit 6 4.
- 7 Q. Right.

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- A. Page 7, line 9. The images only go back to 1999. So the data is there, but there is no image.
- For every COLA that was after 1999, there was an image. For those that were before, there was not.
- Q. And for the ones prior to that time, when the images are available, is there a way of obtaining a copy of the actual label approvals that you know of?
- 18 A. Could I have the question back, 19 please.
- 20 (The reporter read back as follows:
- "QUESTION: And for the ones

 prior to that time, when the images

 are available, is there a way of

 obtaining a copy of the actual label

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- 2 approvals that you know of?")
- A. You'll have to repeat the question again.
- Q. How about if I rephrase it and make it clear.
- You said prior to a certain

 period of time the images are not

 available, correct, on the Website?
- 10 A. Correct.
- Q. Okay. Is there a way of obtaining a copy of the actual label approval for those that are identified, but for which no image is available?
- 15 A. Yes.
- Q. All right. And did you, in fact, obtain copies of any of those labels?
- A. I did not, because I didn't
- 20 feel it was really necessary for the
- 21 report.
- 22 Q. Okay.
- (Off the record.)
- [The abstract of a Certificate
- 25 of Label Approval for Estancia

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- 2 Pinnacles, was hereby marked as
- 3 Exhibit 23 for identification, as of
- 4 this date.
- 5 BY MR. RANNELLS:
- Q. Mr. Reidl, can you describe to
- 7 me from your knowledge what Exhibit 23
- 8 is?
- 9 A. The best way I can describe
- 10 this would be that it is an abstract of a
- 11 Certificate of Label Approval for a wine
- 12 called Estancia Pinnacles.
- 13 Q. I draw your attention to the
- 14 last page, Page 3 of Exhibit 23.
- During your searches for the
- 16 various terms that you searched,
- 17 including Pinnacles and Pinnacles
- 18 Ranches, did you come across, ever come
- 19 across a window like this?
- 20 A. Yes.
- Q. And were you -- isn't it true
- 22 | that this window indicates that the image
- 23 of the actual label approval is not
- 24 available on-line?
- A. Yes, for COLAs that were issued

- 1 PAUL W. REIDL
- 2 | prior to 1999, the images are not
- 3 available on-line.
- 4 Q. Mr. Reidl, would you please
- 5 define for me the -- give me the legal
- 6 definition of what a trademark is?
- 7 A. Before I do that, can I clarify
- 8 my last answer?
- 9 O. Oh, sure.
- 10 A. I meant to say that this COLA
- 11 | is for 1997.
- 12 Q. Yes, I see that.
- A. So I would not have expected to
- 14 have found the label.
- 15 O. Understood.
- 16 A. Now, your question is the legal
- 17 definition of a trademark?
- 18 Q. Yes.
- 19 A. In a general sense, a trademark
- 20 | is a designation that has single source
- 21 significance for goods and/or services.
- 22 Q. In preparing for and in writing
- 23 your report that appears as Exhibits 4
- 24 and 5, did you review the statutory
- 25 definition of a trademark, I would say,

- 1 PAUL W. REIDL
- 2 immediately before, not over the last 18
 3 years or so?
- A. I don't recall.
- Q. Okay. What is your understanding of the elements that are required to show that a mark is geographically descriptive?
 - A. Well, in a very general sense, the term must refer primarily to a known geographic place. And that would be its primary significance.
 - Q. In preparing for writing the reports, did you do any legal research to, with regard to what the elements are for -- the elements of proof for showing that a term is geographically descriptive?
 - A. As I recall, I had just written a summary judgment motion on that point, so I did not specifically research it again for my reports.
 - Q. And what was that summary judgment report in regard to, what case?
 - A. Gosh, I am drawing a blank,

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- 1 PAUL W. REIDL
- 2 | counsel.
- Q. That's okay. I will probably
- 4 remind you of it later.
- 5 Can you give me the legal
- 6 definition of the phrase "use in
- 7 | commerce, " as used in the Lanham Act?
- A. In a general sense, the
- 9 designation has to be used on or in
- 10 connection with the goods or the services
- 11 in a bona fide way. Not a token way.
- 12 Q. Do you have any special
- 13 | expertise in linguistics?
- 14 A. No.
- 15 Q. Do you have any special
- 16 expertise in the meaning of words?
- 17 A. No.
- 18 Q. I refer you to Exhibit 4, if
- 19 | you can put that in front of you, sir.
- 20 What is your understanding of
- 21 | what the word pinnacle means?
- 22 A. Pinnacle, singular?
- 23 O. Yes.
- A. Well, I am no expert at
- 25 linguistics, but the common meaning of

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- 2 the term pinnacle means the top of, the
 3 best.
- Q. Are there any other definitions that you're aware of?
 - A. Not as I sit here.
- Q. And what is your understanding of the definition of the word pinnacles, in the plural?
- A. Pinnacles might refer to ice
 pinnacles or seracs, formations that have
 points or ridges, such as the Pinnacle
 National Monument has.
- Q. Are you aware of any other
 definitions of the word pinnacles, in the
 plural?
- 17 A. Not as I sit here.
- Q. In preparing for and drafting
 your reports, did you consult a
 dictionary with respect to the terms
 pinnacles or pinnacle?
 - A. I did an on-line search.
- Q. And do you have a copy of that?
- A. Do not. And I should say I did

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- Q. You indicate in your reports -I am going back to the original ownership
 of the Pinnacles mark -- that it was
 developed -- you say in paragraph 13 of
 Exhibit 4, "The Pinnacle brand was
 developed by Paul Masson Winery in the
 early 1970s."
- As part of your preparation for this proceeding, did you review anything that discuss the Pinnacles, in the plural, brand that was developed by Paul Masson?
- A. There was very little information on it. The resources that I read that were actual resources, I have cited those in Exhibit A. And there might have been other things that I saw as I was researching this that supported that.
- Q. As part of your preparation for the reports, did you review the file wrapper for Franciscan's Pinnacles trademark registration?
 - A. I believe that I looked at what

on that subject.

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- was on-line. In fact, I am certain that I looked at what was available on-line. I looked at what was available in the, in the pleadings, and what had been produced
- Q. All right. And I am going to represent to you that the Pinnacles' registration owned by Franciscan, when it was originally registered by Paul Masson and up through and past the time it was assigned to Vintners, it was Pinnacle, in the singular, and not Pinnacles, in the plural.

Do you recall seeing a section
7 amendment to the Pinnacles'
registration in the file wrapper that you
reviewed?

- A. I do not.
- Q. Okay. Based upon my representation, and -- based upon my representation that the original mark was Pinnacle and not Pinnacles, up through, throughout the entire time it was owned by Paul Masson and Vintners, does that

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- change your opinion at all with regard to anything in your reports?
 - A. Not really.
- Q. It doesn't change your view of what the term Pinnacles mean; is that correct?
- A. Fundamentally, if what you say is correct, Paul Masson Winery is unlikely to have stumbled on the term Pinnacle as the name of a vineyard that was on the base of a Pinnacles National Monument without some association between Pinnacle and Pinnacles.

In any event, the label history clearly shows that Franciscan used the mark as Pinnacles when they did use it.

And, in fact, the logical reason to do that was because of the national monument.

Q. I understand that. I would appreciate it if you could keep your responses as close as possible to the question. It will be much appreciated.

Exhibit 4, at paragraph 6, you

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state that "In the United States, there are two traditional types of brand names for wines: geographic terms and personal names."

What do you mean by the term "traditional" in that paragraph?

- A. I am referring to names or designations that were commonly used for, during the early history of the wine history and, in fact, continue to be used today.
- Q. Okay. Are there any other types of brand names for wines that were used since the early days of winemaking that are not geographic terms or personal names?
- A. There might have been some brand names that did not fall under that category.
- Q. And when you say "early days," were you referring to in the United Sates there are two traditional types of brand names; during what period of time are you talking in this paragraph?

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- 2. Α. The modern wine industry, if you will, did not begin until after 3 December 1933 which marked the end of 4 prohibition. So we would be talking post 5 December 1933. Although there are some 6 names, such as Louis M. Martini, which were in use as brand names, both before 8 9 and after prohibition.
 - Q. Paragraph 8 of Exhibit 4, the last sentence says "Thus, they've developed their own labeling nomenclature that featured the names of the winemakers (e.g., Robert Mondavi, Gallo, Paul Masson, Carlo Rossi, Taylor) or a geographic term (e.g., California Cellars, Taylor New York Cellars, Corbett Canyon, Napa Ridge)."

Is it your belief or understanding that Robert Mondavi is a trademark for wine?

- A. Yes.
- Q. Is it your belief that Gallo is a trademark for wine?
- 25 A. It is now.

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- 1 PAUL W. REIDL
- Q. Is Corbett Canyon a trademark
- 3 | for wine?
- 4 A. Yes.
- Q. Is Napa Ridge a trademark for
- 6 wine?
- 7 A. Yes.
- Q. Is it your position that in
- 9 order, that if a party uses their full
- 10 name, that they have to show secondary
- 11 meaning before a mark -- before the term
- 12 becomes a trademark?
- A. I have no position on that, one
- 14 way or another. That's a legal
- 15 conclusion.
- 16 Q. Is it your opinion that a
- 17 geographic term such as Corbett Canyon
- 18 | would have to show secondary meaning
- 19 before becoming eligible to be registered
- 20 | as a trademark?
- A. Forgive me, but I disagree with
- 22 | the premise of your question.
- Q. What is it that you disagree
- 24 with?
- A. To my knowledge, Corbett Canyon

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is not an actual place. And therefore, since it is not an actual place, it would not require secondary meaning, because it has no geographic significance.

- Q. So if it were a geographic place, would it require secondary meaning to be registered?
- A. Again, you're asking me for a legal conclusion. I think that would depend on some of the characteristics of the actual place and how it was used.

 And I really don't have an opinion on that.
- Q. If Corbett Canyon were a real place in a winery, or a vineyard, or a manufacturer of Corbett Canyon Wine were near to or adjacent to that particular geographical place, do you think that that would disqualify the mark initially from being eligible to be registered as a trademark?
- A. I -- counsel, I would need more facts about the specific geographic place and how widely known it was.

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- Q. Why would you have to know how widely known it was?
- A. You're asking me to make a legal judgment based on an incomplete hypothetical.
 - O. Yes, I am.
 - A. Well, thank you. And I am saying that I am not -- I can't make a legal judgment based on an incomplete hypothetical.
 - Q. Well, would it be your position that if a term -- if a geographical location could be found in more than one location, in other words, let's say there were eight Corbett Canyons in the United States, that that would affect your decision?
 - A. Again, you're asking me to make a judgment based on an incomplete hypothetical. Because it would depend on the characteristics of those eight places as to whether there would be the goods/place association.
 - Q. And what do you mean when you

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say "goods/place association"?

- A. Well, that the geographic area was sufficiently known so that consumers would think of the geographic area as opposed to the single source identifier as a trademark.
- Q. And how would you show -- in your experience, how would you show whether or not consumers would associate a particular geographical area or geographical indications or location as being associated with wines?
- A. Well, I think you're mixing and blurring concepts, counsel. The association would not necessarily have to be with wines.
- Q. What else could it be if wines were the goods in issue?
- A. If we're talking about precluding registration, you could have a place where it would be misleading as to the geographic source of the goods. So the, the goods would not -- the geographic location would not necessarily

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1	PAUL W. REIDL
2	have to be one that was known for wine.
3	Q. Okay. Then I am confused.
4	Could you repeat for me your
5	definition of what you mean by
6	goods/place association?
7	A. By goods/place association, I
8	mean that the designation would tend to
9	be associated with a place. And that
10	place could be notorious for the goods,
11	or it could be notorious for something
12	else such that there is
13	misdescriptiveness or it's of a
14	misleading nature.
15	And that's the reason I was
16	confused by your question.
17	Q. Okay. Understood. Thank you
18	for clarifying.
19	[The documents created by
2 0	Mr. Rannells, was hereby marked as
21	Exhibit 24 for identification, as of
2 2	this date.]
2 3	BY MR. RANNELLS:

Q. Mr. Reidl, the reporter just

handed you Exhibit 24.

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This is a set of documents created by me. The first two pages are a summary that I personally created that I feel represents the documents underneath it.

The documents underneath are

the names of -- are a TTAB or TESS

Database Abstract of Trademark

Registration followed by a search done
through a Google database of places or
locations, geographic locations that have
the same or similar name as the name
appearing on the registration itself.

Would you please take some time and look through this?

(Witness reviews documents.)

Q. Let's start with the first one, Atlas Peak Vineyards, which is the first registration appearing in the packet.

You will note underneath the Google search I conducted, there is an Atlas Peak Summit in California.

Based upon just these two documents, the registration abstract and

- 1 PAUL W. REIDL
- 2 | the Google printout, would you consider
- 3 | the Atlas Peak Vineyards' trademark to be
- 4 geographically descriptive?
- 5 A. It actually is an AVA.
- 6 Q. So you would -- then you're --
- 7 your response is that yes, it's
- 8 descriptive?
- 9 A. Yes.
- 10 Q. You note that this is a
- 11 registration on the principal register,
- 12 correct?
- 13 A. Yes.
- Q. And you'll note that there is
- 15 no 2F claim in there, correct?
- 16 A. Yes.
- 17 Q. Next, Baltimore Bend Vineyard,
- 18 Registration 3739927, again, the
- 19 registration abstract and the Google
- 20 search I conducted, as well as a Website
- 21 printout of a page from the Baltimore
- 22 | Bend Vineyards' Website.
- Based upon the documents before
- 24 you, do you believe that the mark
- 25 | Baltimore Bend Vineyards is

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- descriptive -- geographically
 descriptive, excuse me?
- A. I have no opinion on that.
- Q. No opinion, okay.

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Park.

The next one is Black Canyon
Vineyard. Again, it starts with the
trademark TESS database abstract, and
then there is part of my Google search is
of the Black Canyon in Gunnison National

Based upon the Black Canyon
Gunnison Park is in Colorado, and that
the owner of the registration for Black
Canyon Vineyards is in Colorado, based
upon the documents you had before you,
would you consider Black Canyon Vineyard
to be geographically descriptive?

A. No.

Q. The next mark is Cathedral Mountain Vineyard. The TESS database abstract followed by a Google search showing the various two pages indicating Cathedral Mountain, one is the geographical location of Cathedral

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- Mountain Summit in Texas and the other is a picture from the Website, or a picture of Cathedral Mountain Vineyards.
- Based upon the documents before
 you, would you consider Cathedral
 Mountain Vineyard to be geographically
 descriptive?
 - A. That's very difficult to say. Each of these, counsel, turns on their own specific facts.
 - Q. Certainly.
 - A. And as part of the registration process, if an applicant files an application, and the examining attorney does not raise an objection, for whatever reasons, the mark is past the publication, assuming that it's otherwise registrable, and it gets registered.

I am very reluctant to draw legal conclusions based on the results of a process where I have no idea what the overall factual picture is, and whether the application should have been filed in the first place, or what the examining

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attorney even considered when they reviewed the application.

- Q. That's fair enough. But it certainly does call for a legal conclusion, but I am asking your opinion based upon the documents before you and not your legal opinion as an attorney.
- A. Well, I understand that, counsel. But it's hard for me to render an opinion when I'm looking at an extremely incomplete set of facts that is resulting from a process that may result in a registration where a registration was not warranted, simply because the application was filed and examined and approved without consideration of all the facts. That's why you have oppositions.
- Q. Do you recall a time -- based upon your experience with Gallo, do you recall a time where examining attorneys would invariably, if a term looked as if it were geographic, turn to a gazetteer, and if a name appeared in the gazetteer, they would reject an application based

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examined, considered.

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- solely upon that; did you ever have any experience with examiners in that regard?
- A. I am aware that examining

 attorneys did that, yes, which would

 suggest that these would not have

 appeared in a gazetteer, which would also

 suggest that there is no geographic

 component to them that the examiner
- Q. Just going back a second, you said, in your opinion, Black Canyon
 Vineyard was not geographically descriptive.
- Do you need to revise your opinions?
- A. Well, looking at the

 photographs and the topography, I doubt

 that there are any grapes growing

 anywhere near Black Canyon Vineyard or

 Black Canyon.
 - O. Of Gunnison Park?
- 23 A. Of Gunnison Park.
- Q. You said you hiked up Pinnacles
- 25 | Monument?

- 1 PAUL W. REIDL
- A. Yes.
- Q. Did you see any vineyards on
- 4 | the top of Pinnacles Monument?
- 5 A. Only when you looked down on the mountain of the other side.
- Q. How many did you see there?
- 8 A. Get a good view of the
- 9 | Pinnacles Vineyards.
- Q. Any others?
- 11 A. On a clear day, I suppose you
- 12 could see far out into the valley and see
- 13 | the vineyards, was it the Stonewall
- 14 Vineyard, some of the other vineyards on
- 15 | the other side of the highway.
- Q. Just curious, could you see
- 17 | Chalone?
- 18 A. I don't recall looking in that
- 19 direction.
- 20 Q. Okay. And do you think Chalone
- 21 is a trademark, so far as in your
- 22 opinion?
- A. I have no opinion on that. I
- 24 don't know. I don't even know what
- 25 | Chalone means.

- Q. Chalone Vineyards, have you heard --
- A. I am familiar with the brand
 name. I am not familiar with the meaning
 of the word.
- 7 MR. SCHLOSS: Can you spell that for the record?
- 9 MR. RANNELLS: C-H-A-L-O-N-E.
- 10 Q. Is Chalone an AVA?
- 11 A. I don't believe so.
- 12 Q. I will just go through these
- 13 | quickly, and if you have the same
- 14 response as you had for Cathedral
- 15 | Mountain, that it's very difficult, and I
- 16 understand that, to make a, to give an
- 17 opinion based on the documents before
- 18 you, just so indicate, please.
- 19 Diamond Mountain Vineyard is
- 20 next, followed by a Google search of the
- 21 location of Diamond Mountain Summit in
- 22 | California, followed by Diamond Mountain,
- 23 a copy from the Web page at
- 24 www.constantwine.com, showing Diamond
- 25 | Mountain Vineyards.

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- A. Diamond Mountain is an AVA.
- Q. So you would consider that to be geographically descriptive?
- A. Yes. It's an AVA. It's a geographic term.
 - Q. Next is Dry Creek Vineyard in California followed by a Google search showing a location known as Dry Creek in Sonoma County, California, followed by some Web pages by the Dry Creek Vineyard.

Do you think Dry Creek is geographically description?

- A. No, because Dry Creek is the John Doe of water courses in California. It's ubiquitous. In fact, my property backs up onto the Dry Creek in my area.
 - Q. Wonderful.
- A. And it's ubiquitous, because there are so many dry creeks due to the seasonality of the rainfall.
- Q. Do you think the fact that there is a Dry Creek Vineyards near the Dry Creek identified in the Google search would lead consumers to possibly believe

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1 PAUL W. REIDL

- 2 that it is a geographical indication or a
 3 geographical location?
- A. I don't have enough information to really make that judgment.
- Q. Okay. The next one is Duncan
 Peak Vineyards. The abstract from the
 TESS followed by the Google search,
 showing where Duncan Peak is in
 California, following by some information
 on Duncan Peak Vineyards.
 - Do you believe that Duncan Peak is geographically descriptive for wine?
 - A. I don't have an opinion on that, one way or the other. Don't have enough facts.
 - Q. I am sorry, I didn't mean to talk over you.
- A. I said I don't have enough facts.
- Q. Indian Peak Vineyards LLC is
 the next registration. It's followed by
 the Google search showing the location of
 Indian Peak Summit in California,
 followed by some information on Indian

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- 2 Peak Vineyards.
- In your opinion, based upon
- 4 these documents, is Indian Peak
- 5 | geographically descriptive of wine?
- A. The same answer. I don't have
- 7 enough facts to really evaluate that.
- 8 Q. Next is Jericho Canyon
- 9 Vineyards, a registration abstract
- 10 followed by a Google search showing the
- 11 location of Jericho Canyon in California,
- 12 followed by information on Jericho Canyon
- 13 | vineyard.
- In your opinion, is Jericho
- 15 | Canyon geographically descriptive of
- 16 | wine?
- 17 A. The same answer. I just don't
- 18 | have enough facts to really evaluate.
- 19 Q. Fine. The next is Keswick
- 20 | Vineyards. Keswick Winery, in Virginia.
- 21 Followed by some information on Keswick
- 22 | Vineyards, and followed by some
- 23 information on Keswick, the town in
- 24 Virginia.
- 25 Based on the documents before

- 1 PAUL W. REIDL
- 2 | you, do you have an opinion as to whether
- 3 or not Keswick Vineyards is
- 4 | qeographically, a qeographical
- 5 designation for wine?
- A. Do not.
- 7 Q. Okay. Next is Pacific Coast
- 8 | Vineyards, followed by information on
- 9 | Pacific Coast Vineyards.
- 10 In your opinion, would Pacific
- 11 | Coast Vineyards be a geographic
- 12 designation for wine?
- A. Probably not.
- 14 O. And the reason for that?
- 15 A. It's too vague and general a
- 16 term. It applies to a 3800 mile
- 17 coastline.
- 18 Q. Do you think anyone that would
- 19 have the right to use Pacific Coast
- 20 Vineyards as the name of their vineyard?
- A. Well, not if someone has a
- 22 registered trademark for it.
- Q. Okay. You mean a registered
- 24 trademark that hasn't been attacked?
- A. That hasn't been attacked,

- 1 PAUL W. REIDL
- 2 that's correct.
- 3 Q. The next one is Redwood
- 4 | Vineyards. Are you familiar with Redwood
- 5 | Vineyards?
- A. Yes.
- Q. And are you aware that there is
- 8 a Redwood Park in California?
- 9 A. Yes. And there are a lot of
- 10 redwood trees, as well.
- 11 Q. Based upon the documents before
- 12 you, would you consider Redwood Vineyards
- 13 to be geographically descriptive?
- 14 A. No.
- 15 O. And the reason for that?
- 16 A. I think of a tree when I see
- 17 Redwood.
- 18 Q. Okay.
- 19 A. And it's nowhere close --
- 20 Nevada is just nowhere close to Redwood
- 21 Forest or Redwood National Park.
- 22 Q. Do you believe that a consumer
- 23 on the East Coast, when they see
- 24 Pinnacles Vineyards, thinks of Pinnacle
- 25 Monument?

- 1 PAUL W. REIDL
- 2 A. I don't know.
- Q. Okay. The next in this Exhibit 4 24 is Sequoia Grove.
 - I would assume you had the same response as with regard to Redwood?
 - A. Correct.

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- 8 Q. Next is Soda Creek Vineyards,
- 9 2004, Napa Valley Cabernet Sauvignon,
- 10 TESS abstract. TESS, by the way is
- 11 | T-E-S-S in capital letters, followed by
- 12 Google search showing Soda Creek,
- 13 | California. And followed, two pages
- 14 after that, by Soda Creek Vineyards
- 15 information.
- Do you have any opinion as to
- 17 whether or not Soda Creek Vineyards would
- 18 be considered geographically -- is
- 19 | geographically descriptive, based upon
- 20 | the information that you have before you?
- 21 A. I do not, although my
- 22 understanding is that I think Soda Creek
- 23 | is in a fairly rugged, almost wilderness,
- 24 but I could be wrong.
- 25 Q. Next is Tahoe Ridge Vineyards &

- 1 PAUL W. REIDL
- 2 | Winery.
- Would it be fair to say that

 Tahoe is a fairly well-known geographical
- 5 location?

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- A. Lake Tahoe would be.
- 7 O. But Tahoe would not be?
- 8 A. I don't know.
- 9 Q. Okay. If a consumer -- in your
 10 opinion, if a consumer saw Tahoe Ridge
 11 Vineyards, do you think it would call to
 12 the consumer's mind Lake Tahoe?
 - A. Well, I am not so sure because Lake Tahoe does not have any ridge structures in it. It's a lake. The whole basin area there is referred to as the Tahoe Basin. But I notice that this is for a variety of goods, including wine.
 - Q. That's correct.
 - Staying with Tahoe Ridge for a second, if an examining attorney refused registration to Tahoe Ridge on the basis that he considered it to be geographically descriptive of wine, if

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- the applicant refused to delete wine from the recitation of goods, would this registration be refused formally?
- A. I have no opinion on that. I do not have enough facts to really evaluate that.
- Q. Next is Victoria Creek
 Vineyards. That's the starting of the
 TESS abstract, going to Google search
 showing the location of Victoria Creek in
 Michigan, and some information on
 Victoria Creek Vineyards.

Based on what you have before you, do you have an opinion as to whether or not Victoria Creek Vineyards would be considered geographically descriptive for wine?

- A. I do not have an opinion.
- Q. And finally, Yosemite Road.

 Beginning with the TESS abstract followed by some information on Yosemite National Park and some information on the wine itself.

In your opinion, is Yosemite

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- Road geographically descriptive?
- 3 A. I have no opinion on that.
- Q. Would consumers, in your opinion, a consumer seeing the term Yosemite, what would they think of?
 - A. I have no opinion, although I will say that this exercise has confirmed, at least in my mind, that there are many geographic terms that are used as brand names for wine.

And again, as I testified both on direct and cross, whether those terms function as trademarks or are trademarks really depends on the facts of each particular situation. And that's why it's very difficult to evaluate this based on, you know, one or two facts out of many.

Q. I understand.

In creating your reports, did you do any consumer surveys to determine what the consumer impressions would be of the terms pinnacles or pinnacle, in the singular, or the term ranches?

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- A. I was not asked to do a consumer survey.
- Q. In your experience, what is the purpose of a consumer survey in a trademark proceeding, opposition proceeding? What can some of the purposes be, let's do it that way?
 - A. To the extent a survey is admissible and properly constructed, it can be probative of any number of issues in a section to the proceeding from whether the term is generic to whether there is a likelihood of confusion.
 - Q. Could a survey also be used to determine whether consumers, whether consumers have a goods/place association with regard to a geographically descriptive issue?
 - A. A properly constructed survey might be admissible and probative on that.
 - Q. You indicated -- you spoke a lot about marketing purposes behind names, brand names or other indicia on a

1	PAUL W	. REIDI
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- 2 label. And you also discussed the
- 3 | Pinnacles capsule.
- 4 What would be -- what reason
- 5 | would a manufacturer have for putting --
- 6 I think you may have answered this
- 7 | already -- but for putting one of those
- 8 | indicia on a capsule?
- 9 A. You'll have to repeat the
- 10 question for me.
- 11 Q. How about if I rephrase it?
- 12 A. Thank you.
- 13 Q. If I, as a manufacturer, wine
- 14 manufacturer or a vodka manufacturer, for
- 15 that matter, put a brand name, or a logo
- 16 or some other indicia that appears on my
- 17 label on a capsule, what would be the
- 18 | purpose of doing that?
- 19 A. To reinforce the branding on
- 20 the label, or for decorative ornamental
- 21 aesthetic purposes.
- [The printouts were hereby
- 23 marked as Exhibit 25 for
- identification, as of this date.]
- 25 BY MR. RANNELLS:

- Q. Mr. Reidl, have you ever seen any of the two products that comprise either of the pages of Exhibit 25?
 - A. Yes.

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Q. On the first page, I know with regard to the Estancia labels, you went through the various indicia that appear on the label itself and their meaning.

If you could, describe for me what you believe, in your opinion, would be the purpose of having the mountain peak that appears on the inside of the backside of the bottle that we see with the word France superimposed, but on the outside of the bottle?

- A. It's a mountain pinnacle which serves to reinforce the primary branding which is Pinnacle.
- Q. And on the top cap, what do you -- could you just describe for the record what you see on the top cap?
- A. Well, there is a representation of the mountain pinnacle that appears below, which we just discussed. It is

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- more stylized, but it's the same. And it reinforces that imagery. There is a blue line that runs around the circumference, lower circumference of the cap, it is decorative.
- And there is the word Imported, which reinforces the brand message that this is an imported product. This isn't a domestic product. This comes from somewhere else.
- Q. Turning your attention to the second page, to the bottle of Pinnacles

 Vodka displayed there in at the farthest right side, would you please describe -
 MR. SCHLOSS: Sorry, did you

17 say --

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- MR. RANNELLS: The farthest right-hand side.
- MR. SCHLOSS: It depicts, just

 for the record, Pinnacle Vodka.

 BY MR. RANNELLS:
 - Q. Would you describe -- well, where does the pinnacle and fanciful depiction of mountain appear on that

2 | bottle?

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- A. It appears on a -- the spirits
- $4 \mid --$ a screw cap equivalent of the capsule.
- Q. I am talking about the furthest right one?
- 7 A. Yes.
- 8 Q. Oh, okay.
- 9 Α. This is a, this might be what's 10 called a Stelvin closure. But there is a 1 1 screw cap. And the bottom extends onto 12 the bottle, and that is frequently used 1.3 to reinforce brand image, as it is used 14 here, with the representation of the 15 pinnacle and then a repeat of the brand 16 name Pinnacle, which, of course, is on 17 the main label.
 - Q. In defining what the word pinnacle means and what is the commercial impression given by the use of the mountain design, is it possible that consumers would look at the word Pinnacle and make some association with a geographical -- some geographical location?

- A. No, because the pinnacle design is a nonspecific general mountain design that was probably created by some artist somewhere. So there would not be a specific pinnacle mountain to be associated with.
 - Q. Are you sure?
- A. The packaging certainly doesn't leave me to believe that there is a pinnacle mountain in France that this is to be associated with.
- Q. Okay. In paragraph 24 of Exhibit 4, you state that "The placing of branding on capsules is uncommon."

What do you mean by that?

- A. When speaking of wine capsules -- there is a cost to have the capsules printed.
- As I testified earlier, you can't, from a production standpoint, guarantee the aesthetic lineup with the main face label.
- So when you look at the wall of wine, not every capsule, in fact, most

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- 2 capsules don't have printing on them.
- When they do, it mirrors the brand image that is on the main face label.
- 5 Q. Is it possible that the fact
- 6 that when they put the capsule on and it
- 7 doesn't line up exactly with the label
- 8 underneath it, that that could be a
- 9 benefit because that means that no matter
- 10 where the consumer -- no matter how the
- 11 bottle is shown on their -- on a shelf,
- 12 including, even if the label were on the
- 13 back, that a consumer would have some way
- 14 of determining what that product was?
- 15 A. I disagree with that.
- Q. And what do you base your
- 17 disagreement on?
- 18 A. You can't see the branding.
- 19 Q. Maybe you misunderstood my
- 20 | question. I wasn't talking specifically
- 21 of the Pinnacles bottle that's before
- 22 you. I was talking in general.
- That anybody who does use that,
- 24 that does brand their capsules, it might
- 25 be a benefit if it was a repeat, that

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regardless of how you characterize
whether or not you can see this
Pinnacles, but for others, that it could
be a benefit because it could be seen no
matter how the bottle was positioned?

A. In 20 years in this business, I have never heard of anyone say that. And I just think that statement is just wrong, because you put it there primarily for aesthetic. You get some brand reinforcement out of it.

But consumers -- when those bottles are on the shelves, the retailers and the distributor says people are going to make sure that the consumer sees it flat on, the label, it's not going to be on the shelf sideways, because they are not doing their job otherwise.

So from a packaging standpoint, you are primarily interested in that front label. And I've never heard anybody articulate what you articulated. If they don't see the front label, maybe they will see the mouse type on the

- 2 | capsule and know that that's ours,
- 3 because you can't see it, standing in the
- 4 aisle.
- 5 Q. Isn't it true that wine is
- 6 often -- not often, but that wine is
- 7 displayed on its side either in some
- 8 | liquor stores or wine stores and/or is
- 9 both displayed as you describe and also
- 10 | displayed on its side?
- A. By on its side, do you mean
- 12 | laid out horizontally?
- 13 Q. That's correct. That's what I
- 14 meant.
- 15 A. There are some higher-end
- 16 stores that will lay wine out
- 17 horizontally.
- 18 Again, I don't think that
- 19 having the word, a word printed around
- 20 the bottom, which would be actually
- 21 upside down if the bottle were laid out
- 22 horizontally, would serve any kind of
- 23 branding purpose. And I never heard it
- 24 articulated that let's design our package
- 25 | so people can read it when it's

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- 2 horizontally. That is just not the convention.
- Q. If I was in a restaurant, and
 the sommelier opened my bottle for me and
 put it in an ice bucket, what would I
 see?
 - A. I believe a towel, if the sommelier is doing his job right. He has a ice bucket next to the table.
- 11 O. Yes.

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- 12 A. You will see a towel.
- Q. You would see a towel. You wouldn't see the top of the bottle?
- A. You might see a portion of the top of the bottle.
 - But counsel, your purchasing decision is already made, because you now have an open bottle of wine chilling next to your table.
- Q. Are you saying that confusion
 can only occur at the place of purchase?

 MR. SCHLOSS: Objection.
- Mischaracterizing the witness's testimony.

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2 MR. RANNELLS: I didn't

3 characterize it. I asked.

A. There, of course, are decisions that would hold that post purchase confusion is actionable. But that's not what we're talking about here.

We're talking about why somebody would put branding on a capsule. And the point that I was making is when you order wine in a restaurant, you're ordering it off a wine list. And you're not ordering it based on some language that might be on the capsule of the bottle of wine.

So by the time the sommelier gets the wine, opens it for you. Goes through the tasting ritual, and puts it in the ice bucket. You already know what you're drinking and know what you bought. And you've actually accepted it.

- Q. You have said that this would reinforce the branding, correct?
- A. It would reinforce the branding for the purposes of consumer purchase.

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- And in the restaurant situation, you would have already purchased the wine.
- Q. If I bring the wine home and I take it out and put it on my table, or I have it in my wine rack, isn't the branding on the capsule continuously reinforcing the branding to me; isn't that the purpose of it or one of the purposes?
 - A. I don't believe that's -- in fact, I am very certain that's not the reason why people would do that. It's too far down the chain of concern.
 - Q. So if I bought this bottle of wine home and put it on my table, and I looked at it five times during the day, the branding on the capsule would have no effect on reinforcing the branding on the bottle to me?
 - A. Very little, because the dominant branding on the packaging is Estancia. You purchased Estancia. You have Estancia sitting on your table. That's what you want to drink. That's

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- 2 | the wine that you're going to consume.
- 3 | You know what's Estancia. And having
- 4 Pinnacles on the capsule, which just
- 5 comes out of no where, doesn't really
- 6 reinforce anything.
- 7 Q. Have you ever purchased a
- 8 | Pinnacles Pinot Grigio -- excuse me, have
- 9 | you ever purchased an Estancia Pinot
- 10 Grigio?
- 11 A. No.
- 12 Q. Have you ever seen one?
- A. I think I saw one at the, at
- 14 | the Save Mart, yes.
- 15 Q. Are you aware of the price
- 16 point or tier at which it sold?
- 17 A. The Estancia wines tend to be
- 18 | in the 9.99 to the 15.99 price range.
- 19 Q. All of them?
- 20 A. I believe they have some in a
- 21 | more premium category, but I did not
- 22 research the Estancia pricing.
- Q. Did you research the different
- 24 tiers of Estancia to determine how
- 25 | Estancia is used on the bottles?

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- A. I researched the Certificates of Label Approval. And I reviewed the Website. I was not interested in Estancia, per se. I was interested in the use of the term Pinnacles, the term Pinnacles Ranches, and the term Pinnacles Vineyard.
- Q. And what, in your opinion, if you can -- if you have an opinion, would be the purpose of -- let me rephrase that.

By putting Pinnacles Ranches on an Estancia bottle, or by putting Keyes Canyon Ranches on an Estancia bottle -- I am sorry, let me rephrase again.

By putting Pinnacles Ranches on an Estancia bottle, or Keyes Canyon on an Estancia bottle, or Stonewall Vineyards on an Estancia bottle, or no such designation on an Estancia bottle, do you believe that by doing that, you create some distinction amongst your wines for consumers?

A. As I understand your question,

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you're asking whether the addition of secondary brands or the absence of secondary brands can be used to distinguish product under the primary brand?

O. Yes.

A. And my answer is it depends.

Certainly, the absence of a vineyard designation would send a cue to consumers that this wine does not originate in a specific vineyard.

Now, you can have vineyard designated wines -- or nonvineyard designated wines that are extremely expensive and extremely inexpensive. But the absence of a vineyard designation would communicate that it was not from one.

The addition of vineyard designations does tend to communicate that the wine originates from a specific vineyard, which has a positive quality cue.

However, I think that the

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varietal name can communicate just as much about the wine as the vineyard designation, to the extent that the varietal is only grown in a specific vineyard.

So your question was what distinguishes, if you have a chard, a pino and a cabernet, and each of them comes from three different vineyards, the consumer now has two ways on each label to distinguish the wines: vineyard designation and varietal.

[The photographs were hereby marked as Exhibit 26 for identification, as of this date.]

MR. SCHLOSS: Jack, before you start your questioning, I am not sure exactly how you're using these photographs, but I think -- I will wait until I hear your question, but the likelihood is we're going to have to put in a running objection as to foundation. I mean obviously, we don't know, we don't know where these

1	PAUL W. REIDL
2	came from and such.
3	MR. RANNELLS: Right. And I
4	will lay a foundation. I am going to
5	call the photographer who took the
6	photographs the day before yesterday,
7	and I will identify the store it was
8	taken. And he's also the person who
9	made the prints, so there will be a
10	good chain.
11	MR. SCHLOSS: Okay. We'll see.
12	MR. RANNELLS: We will see.
13	Before we begin, I understand
14	that Mr. Schloss, I am happy to grant
15	him a running objection with regard to
16	laying a foundation for the Exhibit
17	that has just been handed to
18	Mr. Reidl.
19	Q. Mr. Reidl, have you had a
2 0	chance to look through these?
21	A. Yes.
22	Q. And what do they represent?
23	A. They appear to be photographs
2.4	of, for the most part, wine bottles.

Q. Okay. And do they also show a

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- photograph of the capsule for each of the wine bottles depicted in the Exhibit?
- Α. Yes.

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- Having been given this, does it change your understanding that the use of branding on wine capsules is uncommon?
 - Α. No.
- 0. The first one you have before you, could you identify the wine? 10 1 1 you identify the brand, please?
- 12 I am completely unfamiliar with 1.3 this wine. I never heard of it.
- 14 But can you tell me, based upon 15 the architecture of the label, what the 16 brand is?
 - Α. It looks like it's Principessa Gavia.
- Okay. And looking at the 19 Q. 20 capsule on the top, what do you see?
- 21 Well, I see, I see that the 22 capsule on the left is not the same as 23 the capsule on the right.
- 24 It's not the same one you have Q. 25 there. I am sorry. Go ahead.

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- A. They are two different capsules.
- Q. The capsule that you see -well, I will state for the record that
 the capsule that you see to the right of
 the bottle is a closeup of the capsule
 that you see on the wine next to it, as I
 was standing next to the photographer
 when he made the photograph.
 - A. Counsel, it's hard for me to accept that since one is turquoise and the other is navy.
- MR. NODA: Can you point out which picture?
- MR. RANNELLS: Yes.
- MR. SCHLOSS: Our pictures don't seem to be in sequence.
- MR. NODA: It is not corresponding.
- MR. RANNELLS: I will represent
 that the reason they are a different
 color is because of the closeup versus
 the further-away version of the
 photograph.

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- Q. Let's do a hypothetical then, since you're not willing to accept my representation.
- Assuming that the capsule is the capsule that appears on the bottle --

7 MR. SCHLOSS: I am sorry,
8 counsel, we can't see which image
9 you're referring to, because our
10 photographic prints are not in any
11 sequence that tracks what you've got
12 there.

13 BY MR. RANNELLS:

- Q. What is the mark that you see on the capsule to the right of the bottle?
- A. Principessa, which corresponds to the brand name on the label.
- Q. And what is the brand name on the label?
- A. It's Prince of -- it's Princess
 Garcia, would be the translation.
- 23 Q. Okay.
- A. And you have Principessa.
- Q. Do you see the word Garcia or

	Page 151
1	PAUL W. REIDL
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3	A. Garcia Gavia, something like
4	that.
5	Q. Gavia, okay.
6	Do you see Gavia on the
7	capsule?
8	A. No.
9	Q. All right. So is it you
10	said that it had the brand on the
11	capsule.
12	Isn't it true that it has a
13	truncated version of the brand on the
14	capsule?
15	A. That's correct.
16	MR. SCHLOSS: I am going to
17	object that none of the images you
18	have here depict a 360 degree angle so
19	we can see the whole capsule.
2 0	MR. RANNELLS: Okay.
21	Q. I am not going to go through
2 2	them all, so don't worry.
2 3	A. If I may note that the capsule
2 4	is not lined up flush with the face
2 5	Q. That's true.

- 1 PAUL W. REIDL
- 2 A. -- of the label.
- Q. The next one, are you familiar with that wine?
- 5 MR. SCHLOSS: Sorry, which is this?
- A. I have heard the brand name
 Hogue, but I am not familiar with this
 particular wine.
- MR. SCHLOSS: Can we have a moment to look for it?
- MR. RANNELLS: Sure. It's about maybe 15 down.
- Q. What do you see on the capsule?
- 15 A. I see the corporate name of the producer.
- Q. All right. So is putting the corporate name of the producer on a capsule another way of branding?
- A. I wouldn't call that a brand.

 It's the corporate name of the producer.
- Q. What would be the purpose of putting the corporate name of the producer on the capsule?
- A. I have never seen it done.

- Q. Okay. The next one, are you familiar with Zaco, Z-A-C-O, Wines?
- A. I have never seen this one.
- Q. When you look at the capsule,
 do you see the full name Zaco appearing
 on the capsule?
 - A. No, but I see a, I see the logo which is the incorporation of the Z -- the white Z and the red tilde of Viña.

 It's pretty clever, actually.
- Q. And does that reinforce the brand?
- A. In this case, yes. It's very clever.
- 16 Q. Next.
- MR. SCHLOSS: Sorry, which?
- MR. RANNELLS: The next one
- 19 would be Marqués de Cáceres. It's
- 20 red.
- Q. What is the brand name on the
- 22 | wine?

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- A. I have never seen this wine
- 24 before. It is Portuguese. It's a -- I
- 25 | can't even tell what country the Rioja

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- 2 originates in, but it's Marqués de 3 Cáceres.
- Q. Okay. And on the capsule skirt, what do you see?
 - A. You see three things. You see the name, the brand name, you see the logo, and you see the indication of quality, which is a defined term under the European wine regulations Vendimia Selectionada.
 - Q. Okay. The next one, are you familiar with? Identify the brand name.
 - A. The brand name is Aveleda. I believe that Fonte is a wine style, a Portuguese wine style, but I am not certain. I have never seen this package before.
 - MR. SCHLOSS: Can I just ask you, are these wines all sold in the United States?
 - MR. RANNELLS: These wines are all available for sale in the United States. There photographs were all taken at a store in Raritan, New

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PAUL W. REIDL
Jersey the day before yesterday. And
I personally I personally was the
person who handed the bottles, each of
the bottles to the photographer.
MR. SCHLOSS: So these were all
available for sale in that location?
MR. RANNELLS: In that store,
yes.
MR. SCHLOSS: Okay.
BY MR. RANNELLS:
Q. Would you identify the, what
appears on the capsule sleeve?
A. There is the brand name
Aveleda. And what appears to be a logo.
Q. Okay. Is a logo trademarkable?
A. If it functions as an indicator
of source.
Q. We had discussed before the

fanciful E on the Estancia label.

There is one that appears on the bottle before you at the top and on the label. Do you believe that that E is capable of being a trademark?

I don't have an opinion on

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- 2 that.
- Q. Okay. And the -- in the
- 4 Estancia -- Exhibit 20, the Estancia
- 5 | Chardonnay bottle, there is a picture
- 6 which you haven't discussed yet, with
- 7 | someone with a hoe, and it looks like
- 8 | perhaps a vine next to it.
- 9 Do you believe that that is
- 10 | capable of trademark significance?
- 11 A. I don't have that opinion.
- 12 Q. Okay.
- MR. RANNELLS: I am not going to
- go through these. However, I am
- 15 submitting them as evidence that the
- use of branding on wine capsules is
- fairly common.
- 18 MR. SCHLOSS: I am going to
- maintain my running objection.
- 20 MR. RANNELLS: Certainly.
- [The TESS printouts of
- 22 applications and/or registrations for
- wines, was hereby marked as Exhibit 27
- for identification, as of this date.]
- 25 BY MR. RANNELLS:

1	PAUL W	. REIDI
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- Q. Mr. Reidl, look over each of the pages of Exhibit 27. And do you recognize any of these?
- 5 (Witness reviews Exhibit.)
 - Q. Can you describe what they are?
- 7 A. These appear to be TESS
 8 printouts of records of applications
 9 and/or registrations for wines.
- Q. Were you responsible for filing any of these applications?
- 12 A. Some of them, yes.
- Q. Are all of the applications in the name of Gallo Winery?
- A. I am not sure if each of these
 was applied for on behalf of Gallo
 Winery.
- Q. Could you point out which ones you're not sure of?
- 20 A. Redwood Grove.
- Q. Are you familiar with the
- 22 | Redwood Grove mark?
- 23 A. Yes.
- Q. Did you have anything to do
- 25 with the assignment from European

1	PAUL	W.	REIDI

- Beverage Company to E&J Gallo Company of the registration for Redwood Grove?
- 4 A. I don't recall.

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- Q. Now, looking at the first one, Liberty Creek, in your opinion, could a consumer consider Liberty Creek to have geographical significance?
- A. Counsel, I cannot answer that question on the grounds of attorney/client privilege.
 - Q. I haven't asked you to divulge anything other than your opinion as to what a consumer might consider.
 - A. As counsel for Gallo Winery at the time that this brand was developed and registered, I don't see how I can answer that question without implicating the privilege.
- Q. So you're not going to answer, correct?
 - A. That's correct.
- Q. Would that be the same for the next one, Sola Vista Vineyards?
- 25 A. Correct.

	Page 159
1	PAUL W. REIDL
2	Q. And for the next, Wyalla Cove?
3	A. Correct.
4	Q. And Pont d'Avignon?
5	A. Correct.
6	Q. And Whistle Creek?
7	A. Correct.
8	Q. And Rhine Peak?
9	A. Yes.
10	Q. I will save you the trouble:
11	All of these?
12	A. All of them.
13	Q. Thank you.
14	A. And if I may also add, I am
15	privy to confidential information about
16	those brands, as well, that I am legally
17	obligated not to disclose by
18	agreement.
19	[The documents regarding the
2 0	trademark Altamont, was hereby marked
21	as Exhibit 28 for identification, as
2 2	of this date.]
23	BY MR. RANNELLS:
2 4	Q. Can you identify what this
2 5	Exhibit consists of?

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- There is a TESS extract for the 2. Α. trademark Altamont and Office Action from 3 an examining attorney rejecting or 4 refusing registration based on Article 22 5 6 of TRIPS, and a response that was filed 7 on behalf of the applicant. There is a power of attorney to the lawyer that 8 filed the response, and a transmittal 9 10 letter of mailing to the USPTO.
 - Q. Did you approve the response?
- 12 A. Yes.

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- Q. What section of the Lanham Act refers to TRIPS?
 - A. Section 2. There were some amendments made to Section 2(a).
 - Q. I will confirm that it is Section 2(a).
- 19 A. To reflect TRIPS?
- 20 Q. Right.
- I note that the refusal here,
 if you look at Page 3, is under Section
 23 2(e); that it was initially refused
 registration on the basis that "the mark
 is geographically descriptive and/or on

- 1 PAUL W. REIDL
- 2 | the basis that it's deceptively
- 3 | geographically misdescriptive," and on
- 4 the basis of TRIPS.
- 5 And the response to Office
- 6 Action, Gallo contended -- what was
- 7 Gallo's defense to the refusal, as
- 8 reflected to the response to Office
- 9 Action?
- 10 A. Counsel, I can't discuss
- 11 | Gallo's legal position with respect to
- 12 this Office Action or this mark without
- 13 disclosing attorney/client privileged
- 14 | information and impinging on
- 15 confidentiality.
- I think Mr. Weinberg's response
- 17 | speaks for itself.
- 18 Q. Okay. And did you approve his
- 19 response?
- 20 A. I did.
- Q. And do you believe it's an
- 22 accurate representation of the law?
- A. Of the law and the facts at the
- 24 time.
- 25 Q. Isn't it true that one of the

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on the case.

positions taken in the response to Office
Action is that the primary significance
of the mark was not geographic because
there is more than one location of an
Altamont in the United States?

- A. I don't recall that.
- Q. Could you look at the response?
- A. Again, you're asking me --
- Q. This is a public record.
- A. Well, counsel, the public record speaks for itself. No matter what I say, I would be impinging on my discussions -- the attorney/client privilege, my discussions with Mr. Weinberg, and my own advice to the client
- Q. Okay. In your opinion, in determining -- apart from Altamont, in your opinion, in determining geographical descriptiveness, if a, if a geographical location appears in more than one place, is that one basis upon which that you can contend that the mark is not geographically descriptive as with regard

	Page 163
1	PAUL W. REIDL
2	to those goods?
3	A. Well, you're asking me for a
4	legal conclusion. As I testified
5	previously, it really depends on the
6	facts and the particular term at issue.
7	Q. It depends on consumer
8	impression, also, correct?
9	A. It depends on what is
10	considered to be the likely consumer
11	impression, among other things.
12	[The Google search results,
13	was hereby marked as Exhibit 29 for
14	identification, as of this date.]
15	BY MR. RANNELLS:
16	Q. Mr. Reidl, please take your
17	time and look over the Exhibit.
18	(Witness reviews document.)
19	A. Okay.
2 0	Q. I will represent to you that
21	these are Google searches that I
22	personally made on the Internet.
23	And the first two pages
2 4	indicate my summary of what's beneath

them of pinnacles formations found in

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- other places in the United States or the world.
- 4 Based upon the number of
- 5 different pinnacles or locations of
- 6 pinnacles throughout the world, is it
- 7 | your opinion that the consumer saying the
- 8 | word Pinnacles Ranches or the word --
- 9 let's start with the word Pinnacles
- 10 Ranches would only think of Pinnacles
- 11 Monument?
- 12 A. Counsel, I never heard of any
- 13 of these. I don't know what consumers
- 14 | would think of any of these.
- 15 Q. Very well.
- [The excerpt from TESS on the
- 17 trademark Redwood Creek and
- 18 Declaration submitted in connection
- 19 with the response to an Office Action
- in 2002, was hereby marked as Exhibit
- 21 30 for identification, as of this
- 22 date.l
- 23 BY MR. RANNELLS:
- Q. Can you identify what the
- 25 documents are that comprise Exhibit 30?

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- A. Yes. There is an excerpt from TESS on the trademark Redwood Creek and Declaration that I submitted in connection with the response to an Office Action in 2002.
 - Q. Just so we don't have to go over this again, if I ask you questions, are you going to refuse to answer based on attorney/client privilege?
- A. I would say that the

 Declaration speaks for itself. And I

 would assert the privilege on any

 questions concerning the basis for the

 Declaration, why we filed the

 Declaration, what those arguments were.
- Q. Any and all arguments within the Declaration, are they supportable by law?
- A. Counsel, I filed the
 Declaration. And the declaration was
 made --
 - Q. Under penalty of perjury?
- A. -- under penalty of perjury.
 - Q. As to the statements made?

PAUL W. REIDL

- A. Yes, it was made under penalty of perjury. And the facts contained therein are true. They were used to support the legal argument that was made in the brief that accompanied it.
 - Q. Very good. Thank you.

[The correspondence between Mr.

Reidl and the Commissioner for

Trademarks, was hereby marked as

Exhibit 31 for identification, as of

this date.]

- BY MR. RANNELLS:
- Q. Mr. Reidl, can you identify what's been marked as Exhibit 31?
- 16 A. Yes.

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- Q. Would you describe it, please?
- 18 A. The first page is a transmittal
- 19 letter from me to the Commissioner for
- 20 Trademarks. This was in the days before
- 21 you do electronic filing of the TTAB. It
- 22 | covered off a Motion of Summary Judgment
- 23 and Supporting Memorandum in Opposition
- 24 Number 91175854, which was based on
- 25 unanswered requests for admission.

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- Q. Is this the summary judgment motion you referred to earlier in your testimony?
- 5 A. I don't, no.

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Excuse me, my answer was I don't and then comma no, not I don't know.

Q. I understand that the basis for this was failure to respond to requests for admissions.

You cite case law under Page 3 of the summary judgment response, the section entitled The Goods Are Similar.

The case law cited in there, do you believe that that is good case law with regard to similarity of goods in the alcoholic beverage industry?

MR. SCHLOSS: Objection.

Relevance.

MR. RANNELLS: Well, I believe that Mr. Reidl is here on both cases.

MR. SCHLOSS: Yes, but he hasn't testified about the standards for likelihood of confusion.

L		PAUL	W.	REIDI

MR. RANNELLS: Well, fine.

Would you stipulate that he's not

4 being offered as an expert on the

5 issue of likelihood of confusion?

MR. SCHLOSS: No.

MR. RANNELLS: Oh, okay. Then

please respond.

A. May I have the question,

10 | please?

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11 (The reporter read back as

12 follows:

"QUESTION: The case law cited in there, do you believe that that is good case law with regard to similarity of goods in the alcoholic beverage industry?")

A. The cases state, counsel, that each of, each case needs to be considered on its own merits and on its own proof.

And to the extent that the person in the position of the Plaintiff in those cases was able to convince the Federal Circuit or the TTAB that there was a similarity of goods, then those cases represent good

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2 case law.

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- In this case, there was an admission, so.
 - Q. This case did go beyond -- this brief did go beyond just the request for admission issue, and did cite case law to support the substantive issues, correct?
 - A. Would you repeat the question?

 (The reporter read back as

11 follows:

- "QUESTION: This brief did go
 beyond just the request for admission
 issue, and did cite case law to
 support the substantive issues,
 correct?")
- A. The cases -- no, that's not correct.
- The cases say that you need to
 prove it up. An admission that is
 unanswered is proving it up. And
 therefore, having proved it up, you
 satisfied the element of the case, of the
 case law.
 - Q. So in each of these cases, it

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- is your understanding that the whole thing was you have to proof your case, not that necessarily that any two types of alcoholic beverages may be considered related or similar?
- A. I have not read these cases recently. But I do know, based on my experience, that the Board and the Courts have said repeatedly that you have to prove up each element in some form.

And on the related goods, unless the goods are identical, there must be some proof on that point.

And I know of cases where you have -- that come out different ways on different kinds of goods, depending on the proof that's been offered up.

- Q. Would your response be pretty much the same with regard to Section 4, "the goods are impulse purchases," with regard to the cases cited there in?
 - A. Generally, yes.

Again, depending on what the record is in the particular proceeding.

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As I said here, there were unanswered requests for admission.

MR. RANNELLS: I have no further questions.

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EXAMINATION BY MR. SCHLOSS:

- Q. Mr. Reidl, just a very brief
 9 redirect.
- Do you want to take a break

 11 first?
- 12 A. Bring it on.
 - Q. In several different Exhibits that Mr. Rannells went through with you this afternoon, you were shown various abstracts, printouts of abstracts from the TESS database.
- Do you recall that?
- 19 A. Yes.
- Q. Do those abstracts provide any information about how the marks at issue were used?
- A. No. As I testified during
 cross, you need to have more facts and
 more information in order to make the

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- assessments that I was asked to make.
- Q. I believe you also testified on cross, Mr. Reidl, that the analysis as to whether a goods/place association exists is also dependent on particular facts of
 - A. Yes.

a case; is that right?

- Q. Do the relevant facts in that analysis include the way in which a particular term is used?
- A. Yes.
- Q. Finally, Mr. Reidl, you were shown on cross a list of other geographic locations that have the word pinnacles in their name.
- Did you see whether any of those -- and feel free to -- what was the Exhibit? Exhibit 29, and feel free to look through it again.
- But did you notice whether there were any places identified on that list that are called Pinnacles Ranches?
- 24 A. There were none.
 - Q. Mr. Reidl, in your review of

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- COLAs and marketing materials in the

 course of preparing your reports for your

 testimony today, did you see any facts

 that bear on the issue of whether

 Franciscan sought to create a goods/place

 association with the mark Pinnacles

 Ranches or the term Pinnacles Ranches?
 - A. Yes.

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- Q. And how would you characterize the material that you saw?
- A. The maps. There was the large color map that we saw in one Exhibit. It showed the Pinnacles Ranches Vineyards adjacent to the Pinnacle Monument.

There were the back labels which showed Pinnacles as a geographic place.

And there may have been some other things.

Q. And in making the creative and marketing choices that it did, do you think Franciscan was attempting to call consumer attention to the influence of the local climate on the wine that it

PAUL W. REIDL

2 | sold?

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3 MR. RANNELLS: Objection.

Doesn't require or lend itself to

5 expert testimony.

A. As I talked -- yes, the answer is yes.

As I talked about, at several points during this testimony, terroir, including the soils and climate, the geographic location, are very important to creating credibility for the wine, authenticity for the wine, and in the consumer message to the wine that something is special about it.

And what Franciscan has done in terms of the use of Pinnacles Ranches is to use that as a vineyard designation and as a platform for the story about the terroir that influences the taste characteristics of the wine.

Q. Mr. Reidl, do you recall whether the map that you mentioned a moment ago referenced Pinnacles Ranches or Pinnacles?

	Page 175				
1	PAUL W. REIDL				
2	A. The 8-by-10 color map did.				
3	Q. I am sorry, did?				
4	A. We looked at an Exhibit.				
5	Q. Yeah. Drawing your attention				
6	to the map that appeared on the back				
7	label of the Chardonnay that was shown in				
8	Exhibit 19, does your consulting Exhibit				
9	19 refresh your recollection as to, as to				
10	how the location is in question is				
11	displayed?				
12	A. Yes. It shows, on the map of				
13	California, with the star, the general				
14	area. Then the map shows, in sort of				
15	hatch marks below Pinnacle Ranches, where				
16	it appears with respect to the mountains,				
17	and the highway and the Pacific Ocean.				
18	MR. SCHLOSS: Thank you. No				
19	further questions.				
2 0	MR. RANNELLS: No further				
21	questions.				
22	(Time noted: 4:48 p.m.)				
2 3					
2 4					
	PAUL W. REIDL				
25					

Page 176 PAUL W. REIDL Subscribed and sworn to before me this 4th day of februar 2011. Notary Public VERONICA ZEPEDA ORTIZ Commission # 1851539 Notary Public - California Stanislaus County My Comm. Expires Jun 26, 2013

				Page 177
1 2			I N D E X	
3	WITNESS		EXAMINATION BY	PAGE
4	Paul W. Reidl		Mr. Schloss	3
5	10 1 0 1		Mr. Rannells	8 9
6			Mr. Schloss	171
7				
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		E	X H I B I T S	
9				
10			DESCRIPTION	PAGE
11				
	Exhibit	1	document entitled	3
12			White Rock Distilleries	,
1 2			Inc.'s Notice of Taking	
13			Testimony of Paul W. Reidl, Pursuant to	
14			37 C.F.R. 2.123	
15			57 C.F.R. 2.125	
16	Exhibit	2	document entitled	4
_ •			Stipulated Motion To	-
17			Extend and Reset Trial	
			Dates and Motion	
18			Requesting Board	
			Approval of Stipulations	5
19			Governing Testimony	
			Depositions	
2 0				
21				
	Exhibit	3	document entitled	6
2 2			Stipulated Motion To	
2.2			Extend and Reset Trial	
2 3			Dates and Motion	
2 4			Requesting Board Approval of Stipulations	
∠ ' ±			Governing Testimony	5
25			Depositions	
_ 0				

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1				
	Exhibit	4	document entitled	7
2			Rule 26 Disclosure	
			Statement and Declaration	
3			of Paul W. Reidl	
4				
5	Exhibit	5	document entitled	8
			Rule 26 Disclosure	
6			Statement and Declaration	
			of Paul W. Reidl	
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8				
	Exhibit	6	· · · · · · · · · · · · · · · · · ·	2 7
9			Serial number 04-053	
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11	Exhibit	7		3 2
			Serial number 05-0081	
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13		0	T - 1 - 1 - 7 1	2.0
1 4	Exhibit	8	Label Approval,	3 7
14			Serial number 05-0015	
15	Darbibi+	0	Tabal Approxi	3 9
16	Exhibit	9	Label Approval,	3 9
17			Serial number 06-0081	
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21			Serial number 08-0174	
22				
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8			brand wine	
9				
10	Exhibit	17	Trade brochure given	5 4
			to distributors or	
11			retailers	
12				
13	Exhibit	18	Page shot from the	5 8
			Estancia Website,	
14			www.estanciaestates.com	
15 16	Exhibit	1 0	Photographs of a 2008	6 5
10	EXHIBIC	19	Chardonnay produced by	0 3
17			Franciscan	
18				
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19			by Franciscan	
2 0				
21	Exhibit	21	document entitled	8 5
			Declaration of Ronald	
2 2			C. Fondiller	
23				
2 4	Exhibit	22	document depicting the	9 0
2 5			search site for	nnaua 1
⊿ ⊃			Certificates of Label Ap	bhrovar

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21	Exhibit	31	correspondence between Mr. Reidl and the	166
2 3 2 4			Commissioner for Trademarks	
25	The cour		eporter has retained all	

CERTIFICATION

I, Dawn Matera, a Notary Public for and within the State of New York, do hereby certify:

That the witness whose testimony as herein set forth, was duly sworn by me; and that the within transcript is a true record of the testimony given by said witness.

I further certify that I am not related to any of the parties to this action by blood or marriage, and that I am in no way interested in the outcome of this matter.

IN WITNESS WHEREOF, I have hereunto set my hand this 26th day of January, 2011.

Dawn Matera

Dawx Matera

Page 182 1 ERRATA SHEET VERITEXT/NEW YORK REPORTING, LLC 2 CASE NAME: Franciscan v White Rock DATE OF DEPOSITION: January 26, 2011 3 WITNESS' NAME: PAUL W. REIDL PAGE/LINE(S)/ CHANGE REASON 5 ____/___/____/____/ 6 7 8 9 10 11 12 13 14 15 16 17 18 19 2 0 PAUL W. REIDL 21 SUBSCRIBED AND SWORN TO BEFORE ME THIS____DAY 2 2 2 3 NOTARY PUBLIC 2 4 MY COMMISSION EXPIRES_____ 2 5

ERRATA SHEET

VERITEXT/NEW YORK REPORTING, L.L.C.

CASE NAME: Franciscan v. White Rock DATE OF DEPOSOTION: January 26, 2011 WITNESS'S NAME: PAUL W. REIDL

Page	Line	Change	
	- Bill	Change	Reason
4	13	Strike the word "of"	-
8	19	Change "on" to "in"	Clarity & Accuracy
10	12	Change "of" to "in"	Clarity & Accuracy
10	23	Change "Association And" to "A	Clarity & Accuracy
11	22	Change "Association. And" to "Association, and" Change "E&J" to E. & J."	Clarity & Accuracy
20	7		Clarity & Accuracy
52	21	Change "climate that" to "climate, and that" Change "to" to "by"	Clarity & Accuracy
57	19		Clarity & Accuracy
73	20	Change "citing" to "siting"	Clarity & Accuracy
		Change "patent trademark office" to "Patent and Trademark Office"	Clarity & Accuracy
74 	20-21	Change "patent trademark office" to "Patent and Trademark Office"	Clarity & Accuracy
_77	22	Change "or" to "and"	Clarity & Accuracy
79	12-13	Change "principal register" to Principal Register	Clarity & Accuracy
80	12	Change "was" to "were"	Clarity & Accuracy
80	20	Change "board" to "Board"	Clarity & Accuracy
82	13	Change "finger" to "vineyard"	Clarity & Accuracy
82	14	Change "designation place" to "designation of place"	Clarity & Accuracy
83	16	Change "indicate" to "indicating"	Clarity & Accuracy
88	20	Change "on Pinnacles" to "on a Pinnacles"	Clarity & Accuracy
95	6	Delete "and"	Clarity & Accuracy
102	12	Change "Pinnacle" to "Pinnacles"	Clarity & Accuracy Clarity & Accuracy
105	19-20	Change "national monument" to "National Monument"	Clarity & Accuracy
106	11	Change "history" to "industry"	Clarity & Accuracy
107	5, 9	Change "prohibition" to "Prohibition"	Clarity & Accuracy
117	17-18	Change "past the publication" to "passed the publication period"	Clarity & Accuracy
120	8	Change "Get" to "Got."	
122	15	Change Get 10 Got."	Clarity & Accuracy
131	12	Change "water courses" to "watercourses"	Clarity & Accuracy
138	8	Change "to" to "2"	Clarity & Accuracy
138	14	Change "say" to "saying"	Clarity & Accuracy
138	15	Change "retailers" to "retailer's"	Clarity & Accuracy
138	23	Change "distributor says" to "distributor's"	Clarity & Accuracy
138		Change "articulated." to "articulated:"	Clarity & Accuracy
130	24	Change "If" to "'If."	Clarity & Accuracy

139	2	Change "ours," to "ours,""	Clarity & Accuracy
140	2	Change "horizontally" to "horizontal"	Clarity & Accuracy
140	10	Change "a" to "an"	Clarity & Accuracy
141	17	Change "you. Goes" to "you, goes	Clarity & Accuracy
141	19	Change "bucket. You" to "bucket, you."	Clarity & Accuracy
143	3	Change "what's" to "that's"	Clarity & Accuracy
143	5	Change "no where" to "nowhere."	Clarity & Accuracy
145	19	Change "one." to "one vineyard."	Clarity & Accuracy
146	9	Change "pino" to "pinot"	Clarity & Accuracy

Sworn to under penalty of perjury.

February 4, 2011



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